

QUARTERLY STATEMENT

OF THE

Vantis Life Insurance Company

TO THE

Insurance Department

OF THE

STATE OF

Connecticut

**FOR THE QUARTER ENDED
SEPTEMBER 30, 2022**

LIFE, ACCIDENT AND HEALTH

FRATERNAL BENEFIT SOCIETIES

2022

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT
 AS OF SEPTEMBER 30, 2022
 OF THE CONDITION AND AFFAIRS OF THE
Vantis Life Insurance Company

NAIC Group Code 0850 0850 NAIC Company Code 68632 Employer's ID Number 06-0523876
 (Current) (Prior)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 06/20/1963 Commenced Business 01/01/1964

Statutory Home Office 200 Day Hill Road, Windsor, CT, US 06095
 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 200 Day Hill Road
 (Street and Number)
Windsor, CT, US 06095 860-298-6000
 (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 200 Day Hill Road, Windsor, CT, US 06095
 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 200 Day Hill Road
 (Street and Number)
Windsor, CT, US 06095 860-298-6000
 (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.vantislife.com

Statutory Statement Contact Gail Elaine Lataille, 860-298-6004
 (Name) (Area Code) (Telephone Number)
glataille@vantislife.com 860-298-5413
 (E-mail Address) (FAX Number)

OFFICERS

Chairman and Chief Executive Officer	<u>David Michael O'Malley</u>	Chief Financial Officer of Life Insurance and Annuities	<u>Richard Matthew Klenk</u>
President	<u>Thomas Henry Harris</u>	Chief Ethics and Compliance Officer and Secretary	<u>Victoria Marie Robinson</u>

OTHER

<u>Gregory Joseph Driscoll, Chief Operating Officer of Life Insurance and Annuities</u>	<u>Ann-Marie Mason, Chief Legal Officer</u>	<u>Raymond Gerard Caucci, Head of Product and Underwriting</u>
<u>Justin Mark Wyant, Vice President and Appointed Actuary</u>	<u>Steven W Linville, Vice President, Financial Management and Controller</u>	<u>Gail Elaine Lataille, Vice President, Financial Management and Treasurer</u>

DIRECTORS OR TRUSTEES

<u>David Michael O'Malley</u>	<u>Thomas Henry Harris</u>	<u>David Michael Raszeja</u>
<u>Victoria Marie Robinson</u>	<u>Richard Matthew Klenk</u>	<u>Karthick Dalawai</u>

State of Pennsylvania SS:
 County of Montgomery

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>David Michael O'Malley</u> Chairman and Chief Executive Officer	<u>Richard Matthew Klenk</u> Chief Financial Officer of Life Insurance and Annuities	<u>Victoria Marie Robinson</u> Chief Ethics and Compliance Officer and Secretary

Subscribed and sworn to before me this 11/02/2022
 day of _____

- a. Is this an original filing? Yes [X] No []
 b. If no,
 1. State the amendment number.....
 2. Date filed
 3. Number of pages attached.....

Pamela Walker
 Signed on 2022/11/02 15:08:52 -5:00

Commonwealth of Pennsylvania - Notary Seal
 PAMELA WALKER, Notary Public
 Montgomery County
 My Commission Expires Sep 13, 2023
 Commission Number 1357170

Notary Stamp: 2022/11/02 12:08:52 PST 585F281DC038

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	392,680,850	0	392,680,850	436,372,214
2. Stocks:				
2.1 Preferred stocks	4,350,034	0	4,350,034	5,206,065
2.2 Common stocks	1,515,695	0	1,515,695	1,417,585
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	1,897
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)	2,429,734	0	2,429,734	5,197,279
4.2 Properties held for the production of income (less \$ 0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$ 0 encumbrances)	0	0	0	0
5. Cash (\$ 10,042,349), cash equivalents (\$ 7,815,646) and short-term investments (\$ 19,795,553)	37,653,548	0	37,653,548	13,271,944
6. Contract loans (including \$ 0 premium notes)	6,014,593	0	6,014,593	6,624,721
7. Derivatives	0	0	0	0
8. Other invested assets	5,000,000	0	5,000,000	5,000,000
9. Receivables for securities	2,568	0	2,568	1,246
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	449,647,022	0	449,647,022	473,092,951
13. Title plants less \$ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	4,652,925	0	4,652,925	5,043,595
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	620,712	16,412	604,300	460,144
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)	8,190,368	0	8,190,368	7,965,390
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	3,415,795	0	3,415,795	7,864,293
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	67,368	0	67,368	72,540
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	0	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	890,494	885,373	5,121	13,824
21. Furniture and equipment, including health care delivery assets (\$ 0)	58,862	58,862	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	706	0	706	1,286,818
24. Health care (\$ 0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	48,420,089	963,463	47,456,626	46,664,216
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	515,964,341	1,924,110	514,040,231	542,463,771
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	515,964,341	1,924,110	514,040,231	542,463,771
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. COLI	45,009,434	0	45,009,434	44,197,191
2502. SERP assets	2,436,790	0	2,436,790	2,429,346
2503. Other receivable	10,402	0	10,402	37,679
2598. Summary of remaining write-ins for Line 25 from overflow page	963,463	963,463	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	48,420,089	963,463	47,456,626	46,664,216

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$399,337,370 less \$0 included in Line 6.3 (including \$0 Modco Reserve).....	399,337,370	442,901,325
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve).....	4,000	2,120
3. Liability for deposit-type contracts (including \$0 Modco Reserve).....	19,595,002	19,697,043
4. Contract claims:		
4.1 Life	2,016,841	2,387,996
4.2 Accident and health	0	0
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco).....	122,464	144,668
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco).....	132,502	127,658
6.3 Coupons and similar benefits (including \$0 Modco).....	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums	150,112	124,609
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$0 assumed and \$569,151 ceded	569,151	1,166,650
9.4 Interest Maintenance Reserve	0	1,487,641
10. Commissions to agents due or accrued-life and annuity contracts \$39,367 , accident and health \$0 and deposit-type contract funds \$0	39,367	20,889
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	4,147,473	1,783,508
13. Transfers to Separate Accounts due or accrued (net) (including \$0 accrued for expense allowances recognized in reserves, net of reinsured allowances)	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	155,481	214,728
15.1 Current federal and foreign income taxes, including \$0 on realized capital gains (losses)	0	0
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee	63,821	174,714
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	69,666	68,247
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	4,628,732	5,044,327
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$0) reinsurers	0	0
24.04 Payable to parent, subsidiaries and affiliates	919,562	395,247
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	0	0
24.10 Payable for securities lending	0	0
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	4,726,815	6,207,004
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	436,678,359	481,948,374
27. From Separate Accounts Statement	0	0
28. Total liabilities (Lines 26 and 27)	436,678,359	481,948,374
29. Common capital stock	1,100,000	1,100,000
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	109,910,729	74,910,729
34. Aggregate write-ins for special surplus funds	2,873,062	3,759,403
35. Unassigned funds (surplus)	(36,521,919)	(19,254,735)
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	76,261,872	59,415,397
38. Totals of Lines 29, 30 and 37	77,361,872	60,515,397
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	514,040,231	542,463,771
DETAILS OF WRITE-INS		
2501. SERP liabilities	3,953,902	4,997,667
2502. Unclaimed funds for escheat	772,913	1,209,337
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	4,726,815	6,207,004
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401. Deferral of annuity reinsurance gain	2,873,062	3,759,403
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	2,873,062	3,759,403

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	26,353,315	21,467,526	26,715,432
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	14,030,526	14,909,402	19,654,293
4. Amortization of Interest Maintenance Reserve (IMR)	128,181	155,741	206,090
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	637,392	664,211	882,815
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	1,788,418	3,283,157	4,738,983
9. Totals (Lines 1 to 8.3)	42,937,832	40,480,037	52,197,613
10. Death benefits	9,077,861	8,545,548	12,305,716
11. Matured endowments (excluding guaranteed annual pure endowments)	15,000	0	6,000
12. Annuity benefits	3,139,176	4,623,152	5,835,970
13. Disability benefits and benefits under accident and health contracts	50,109	49,839	66,568
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	71,943,192	11,309,652	21,584,854
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	591,048	638,137	838,358
18. Payments on supplementary contracts with life contingencies	1,650	1,650	2,201
19. Increase in aggregate reserves for life and accident and health contracts	(43,562,074)	9,132,726	4,922,960
20. Totals (Lines 10 to 19)	41,255,962	34,300,704	45,562,627
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	1,429,396	944,963	1,279,389
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	11,361,833	7,671,154	10,929,661
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,156,312	1,057,727	1,334,028
25. Increase in loading on deferred and uncollected premiums	150,437	171,031	86,883
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27. Aggregate write-ins for deductions	0	0	0
28. Totals (Lines 20 to 27)	55,353,940	44,145,579	59,192,588
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(12,416,108)	(3,665,542)	(6,994,975)
30. Dividends to policyholders and refunds to members	177,746	217,982	276,523
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(12,593,854)	(3,883,524)	(7,271,498)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	576,316	(268,641)	(241,802)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(13,170,170)	(3,614,883)	(7,029,696)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 0 (excluding taxes of \$ (576,316) transferred to the IMR)	(2,634,768)	0	(111,086)
35. Net income (Line 33 plus Line 34)	(15,804,938)	(3,614,883)	(7,140,782)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	60,515,397	68,385,070	68,385,070
37. Net income (Line 35)	(15,804,938)	(3,614,883)	(7,140,782)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(1,098,121)	(192,749)	123,010
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	0	0	0
41. Change in nonadmitted assets	(779,720)	236,678	293,962
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	415,595	(446,689)	(643,011)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	100,000
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	35,000,000	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	(886,341)	(659,270)	(879,030)
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	0	0	276,178
54. Net change in capital and surplus for the year (Lines 37 through 53)	16,846,475	(4,676,913)	(7,869,673)
55. Capital and surplus, as of statement date (Lines 36 + 54)	77,361,872	63,708,157	60,515,397
DETAILS OF WRITE-INS			
08.301. Miscellaneous income	89,834	1,794,272	2,751,183
08.302. COLI - change in cash surrender value	812,243	829,615	1,108,773
08.303. Recognition of deferred reinsurance gain	886,341	659,270	879,027
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	1,788,418	3,283,157	4,738,983
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	0	0	0
5301. Change in additional minimum benefit liability	0	0	276,178
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	276,178

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	25,872,443	21,618,200	26,806,590
2. Net investment income	15,641,370	16,012,717	21,516,767
3. Miscellaneous income	2,425,810	3,288,098	5,621,798
4. Total (Lines 1 to 3)	43,939,623	40,919,015	53,945,155
5. Benefit and loss related payments	81,338,193	24,924,284	42,288,549
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	11,624,345	9,432,599	13,057,228
8. Dividends paid to policyholders	195,106	214,285	275,076
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)	0	(156,816)	(245,718)
10. Total (Lines 5 through 9)	93,157,644	34,414,352	55,375,135
11. Net cash from operations (Line 4 minus Line 10)	(49,218,021)	6,504,663	(1,429,980)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	42,815,788	31,022,935	46,210,833
12.2 Stocks	0	0	0
12.3 Mortgage loans	1,897	3,054	4,079
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	3,110,000	108,754
12.8 Total investment proceeds (Lines 12.1 to 12.7)	42,817,685	34,135,989	46,323,666
13. Cost of investments acquired (long-term only):			
13.1 Bonds	2,000,350	34,761,723	37,954,104
13.2 Stocks	471,600	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	15,847	55,092	56,206
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	1,322	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	2,489,119	34,816,815	38,010,310
14. Net increase (or decrease) in contract loans and premium notes	(610,128)	(165,062)	(286,029)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	40,938,694	(515,764)	8,599,385
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	35,000,000	0	100,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(102,041)	(194,129)	(262,281)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(2,237,028)	362,018	(730,966)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	32,660,931	167,889	(893,247)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	24,381,604	6,156,788	6,276,158
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	13,271,944	6,995,786	6,995,786
19.2 End of period (Line 18 plus Line 19.1)	37,653,548	13,152,574	13,271,944

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	0	0	0
2. Ordinary life insurance	16,097,901	15,468,231	20,602,751
3. Ordinary individual annuities	16,005,995	11,799,175	14,165,517
4. Credit life (group and individual)	13	25	36
5. Group life insurance	1,472,783	1,613,719	2,113,399
6. Group annuities	0	0	0
7. A & H - group	663	966	1,208
8. A & H - credit (group and individual)	0	0	0
9. A & H - other	0	0	0
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	33,577,355	28,882,116	36,882,911
12. Fraternal (Fraternal Benefit Societies Only)	0	0	0
13. Subtotal (Lines 11 through 12)	33,577,355	28,882,116	36,882,911
14. Deposit-type contracts	0	0	0
15. Total (Lines 13 and 14)	33,577,355	28,882,116	36,882,911
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Vantis Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Connecticut Insurance Department.

The Company recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Connecticut Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Connecticut. The state has adopted certain prescribed accounting practices that may differ from those found in NAIC SAP.

At the time of Codification (fiscal year 2001), the Company was writing premium exclusively in the State of Connecticut, a state where the Valuation of Life Insurance Policies Model Regulation (Regulation XXX) has not been adopted. As a result, for some of its individual level term life insurance policies, the Company currently holds a Gross Premium Valuation (GPV) reserve subject to a floor of the larger of the unitary basis reserves and "1/2 cbarx", as prescribed by the State of Connecticut. The GPV reflects realistic assumptions for lapses, mortality, and expenses, adjusted for potential adverse deviations and valuation assumptions for interest rates.

The current reinsurance arrangement is 80% first dollar quota share yearly renewable term on these policies. If Regulation XXX reserves had been held, the reinsurance arrangement would have been 80% or 90% first dollar quota share coinsurance, thus reducing the reserve impact by 80% or 90%. The current retained reserve is \$5,547,006. If these contracts had been 90% reinsured on a coinsurance basis and Regulation XXX reserves were held, the retained reserve would be \$3,610,165.

The following table compares GPV reserves (gross of reinsurance) for such policies issued since 2001 and the corresponding Regulation XXX reserves (pursuant to the revised Standard Valuation Law approved by the NAIC). The Company does not have an exposure to the difference shown below as the State of Connecticut has not adopted Regulation XXX. Were Connecticut to adopt Regulation XXX in the future, the Company's view is that it could not do so retroactively, as this would necessitate unwinding of the policies and the associated reinsurance.

	9/30/2022	12/31/2021
GPV Reserves:		
Regulation XXX Reserves:	8,793,337	10,096,923
Basic Reserves	36,100,596	40,550,631
Deficiency Reserves	1,051	2,434
Total	36,101,647	40,553,065
Difference	27,308,310	30,456,142

	SSAP #	F/S Page	F/S Line #	2022	2021
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (15,804,938)	\$ (7,140,782)
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
GPV versus XXX reserves	51R	4	19	\$ (1,138,642)	\$ (2,310,173)
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (14,666,296)	\$ (4,830,609)
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 77,361,872	\$ 60,515,397
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
GPV versus XXX reserves	51R	4	55	\$ 17,930,288	\$ 19,068,930
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 59,431,584	\$ 41,446,467

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Life premiums are recognized as income over the premium-paying period of the related policies. Annuity considerations are recognized as revenue when received. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

In addition, the Company uses the following accounting policies:

(1) Basis for Short-Term Investments

Short-Term Investments are stated in accordance with the guidance provided in SSAP No. 2R - Cash, Cash Equivalents, Drafts and Short-Term Investments.

(2) Basis for Bonds and Amortization Schedule

Bonds not backed by other loans are stated at amortized cost using the interest method.

(3) Basis for Common Stocks

Unaffiliated common stocks are held at fair value.

(4) Basis for Preferred Stocks

Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32 - Preferred Stock.

(5) Basis for Mortgage Loans

Mortgage loans on real estate are stated at the aggregate carrying value less accrued interest.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities.

(7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

The Company does not own any investments in subsidiaries, controlled or affiliated entities.

(8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

The Company does not own any investments in joint ventures, partnerships or limited liability entities.

(9) Accounting Policies for Derivatives

The Company does not own any derivatives.

(10) Anticipated Investment Income Used in Premium Deficiency Calculation

The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.

(11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

The liabilities for losses and loss/claim adjustment expenses for accident and health contracts are not applicable.

(12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

The Company has not modified its capitalization policy from the prior period.

(13) Method Used to Estimate Pharmaceutical Rebate Receivables

The Company does not own any pharmaceutical rebate receivables.

D. Going Concern

The Company evaluated its ability to continue as a going concern, and no substantial doubts were raised.

NOTE 2 Accounting Changes and Corrections of Errors

Not applicable - The Company did not have any material changes in accounting principles and/or correction of errors.

NOTES TO FINANCIAL STATEMENTS

NOTE 3 Business Combinations and Goodwill

Not applicable

NOTE 4 Discontinued Operations

Not applicable

NOTE 5 Investments**A. Mortgage Loans, including Mezzanine Real Estate Loans**

Not applicable

B. Debt Restructuring

Not applicable

C. Reverse Mortgages

Not applicable

D. Loan-Backed Securities**(1) Description of Sources Used to Determine Prepayment Assumptions**

Prepayment assumptions for mortgage-backed/asset-backed securities were obtained from the Company's investment advisor.

(2) OTTI Recognized by Quarter

Not applicable

(3)**a) The aggregate amount of unrealized losses:**

1. Less than 12 Months	\$ 56,700,242
2. 12 Months or Longer	\$ 4,317,105

b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 315,531,262
2. 12 Months or Longer	\$ 15,485,454

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

J. Real Estate**(1) Recognized Impairment Loss**

During the quarter, the Company recognized a valuation impairment to its corporate headquarters totaling \$2,634,768.

(2) Sold or Classified Real Estate Investments as Held for Sale

None

(3) Changes to a Plan of Sale for an Investment in Real Estate

None

(4) Retail Land Sales Operations

None

(5) Real Estate Investments with Participating Mortgage Loan Features

None

K. Low Income Housing tax Credits (LIHTC)

Not applicable

L. Restricted Assets**1. Restricted Assets (Including Pledged)**

	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
Restricted Asset Category	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. Collateral held under security lending agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c. Subject to repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Subject to reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Subject to dollar repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

g. Placed under option contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
i. FHLB capital stock	\$ 271,300	\$ -	\$ -	\$ -	\$ 271,300	\$ 35,500	\$ 235,800
j. On deposit with states	\$ 5,540,983	\$ -	\$ -	\$ -	\$ 5,540,983	\$ 5,685,172	\$ (144,189)
k. On deposit with other regulatory bodies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ 626,252	\$ -	\$ -	\$ -	\$ 626,252	\$ 816,590	\$ (190,338)
m. Pledged as collateral not captured in other categories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
n. Other restricted assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
o. Total Restricted Assets	\$ 6,438,535	\$ -	\$ -	\$ -	\$ 6,438,535	\$ 6,537,262	\$ (98,727)

(a) Subset of Column 1

(b) Subset of Column 3

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
	Total Non-admitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	0.000%	0.000%
b. Collateral held under security lending agreements	\$ -	\$ -	0.000%	0.000%
c. Subject to repurchase agreements	\$ -	\$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements	\$ -	\$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
g. Placed under option contracts	\$ -	\$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	0.000%	0.000%
i. FHLB capital stock	\$ -	\$ 271,300	0.053%	0.053%
j. On deposit with states	\$ -	\$ 5,540,983	1.074%	1.078%
k. On deposit with other regulatory bodies	\$ -	\$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ 626,252	0.121%	0.122%
m. Pledged as collateral not captured in other categories	\$ -	\$ -	0.000%	0.000%
n. Other restricted assets	\$ -	\$ -	0.000%	0.000%
o. Total Restricted Assets	\$ -	\$ 6,438,535	1.248%	1.253%

(c) Column 5 divided by Asset Page, Column 1, Line 28

(d) Column 9 divided by Asset Page, Column 3, Line 28

- Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)
Not applicable
- Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)
Not applicable
- Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements
Not applicable

M. Working Capital Finance Investments
Not applicable

N. Offsetting and Netting of Assets and Liabilities
Not applicable

O. 5GI Securities
Not applicable

P. Short Sales
Not applicable

Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>	<u>Separate Account</u>
1. Number of CUSIPs	4	0
2. Aggregate Amount of Investment Income	\$ 41,373	\$ -

R. Reporting Entity's Share of Cash Pool by Asset Type
Not applicable

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies
Not applicable

NOTE 7 Investment Income
No significant changes

NOTE 8 Derivative Instruments

NOTES TO FINANCIAL STATEMENTS

Not applicable - the Company does not own any derivative instruments

NOTE 9 Income Taxes

No significant changes

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

NOTE 11 Debt

A. Debt Including Capital Notes

None

B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement

The Company is a member of the Federal Home Loan Bank (FHLB) of Boston. Through its membership, the Company has conducted business activity (borrowings) with the FHLB. It is part of the Company's strategy to utilize these funds as needed for use in general operations.

(2) FHLB Capital Stock

a. Aggregate Totals

	1 Total 2+3	2 General Account	3 Separate Accounts
1. Current Year			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 271,300	\$ 271,300	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ -	\$ -	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 271,300	\$ 271,300	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 15,128,849	XXX	XXX
2. Prior Year-end			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 10,000	\$ 10,000	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ 25,500	\$ 25,500	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 35,500	\$ 35,500	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 850,000	XXX	XXX

11B(2)a1(f) should be equal to or greater than 11B(4)a1(d)

11B(2)a2(f) should be equal to or greater than 11B(4)a2(d)

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

	1 Current Year Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less Than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
Membership Stock						
1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Class B	\$ 271,300	\$ 271,300	\$ -	\$ -	\$ -	\$ -

11B(2)b1 Current Year Total (Column 1) should equal 11B(2)a1(a) Total (Column 1)

11B(2)b2 Current Year Total (Column 1) should equal 11B(2)a1(b) Total (Column 1)

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 602,859	\$ 626,252	\$ -
2. Current Year General Account Total Collateral Pledged	\$ 602,859	\$ 626,252	\$ -
3. Current Year Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Total Collateral Pledged	\$ 859,143	\$ 816,590	\$ -

11B(3)a1 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b1 (Columns 1, 2 and 3 respectively)

11B(3)a2 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b2 (Columns 1, 2 and 3 respectively)

11B(3)a3 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b3 (Columns 1, 2 and 3 respectively)

11B(3)a4 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b4 (Columns 1, 2 and 3 respectively)

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1. Current Year Total General and Separate Accounts Maximum Collateral Pledged (Lines 2+3)	\$ 602,859	\$ 626,252	\$ -
2. Current Year General Account Maximum Collateral Pledged	\$ 602,859	\$ 626,252	\$ -
3. Current Year Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ 859,143	\$ 816,590	\$ -

(4) Borrowing from FHLB

The Company did not have any FHLB borrowings during 2022 or 2021.

NOTES TO FINANCIAL STATEMENTS

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
A. Defined Benefit Plan

(1) Change in benefit obligation
No significant changes

(2) Change in plan assets
No significant changes

(3) Funded status
No significant changes

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2022	2021	2022	2021	2022	2021
(4) Components of net periodic benefit cost						
a. Service cost	\$ -	\$ -	\$ 176,500	\$ 108,243	\$ -	\$ -
b. Interest cost	\$ -	\$ -	\$ 26,000	\$ 78,138	\$ -	\$ -
c. Expected return on plan assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Transition asset or obligation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Gains and losses	\$ -	\$ -	\$ -	\$ 75,875	\$ -	\$ -
f. Prior service cost or credit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Gain or loss recognized due to a settlement or curtailment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Total net periodic benefit cost	\$ -	\$ -	\$ 202,500	\$ 262,256	\$ -	\$ -

(5) Amounts in unassigned funds (surplus) recognized as components of net periodic benefit cost
No significant changes

(6) Amounts in unassigned funds (surplus) that have not yet been recognized as components of net periodic benefit cost
No significant changes

(7) Weighted-average assumptions used to determine net periodic benefit cost as of the end of current period:
No significant changes

(8) Accumulated Benefit Obligation for Defined Benefit Pension Plans
No significant changes

(9) For Postretirement Benefits Other Than Pensions, the Assumed Health Care Cost Trend Rate(s)
No significant changes

(10) Estimated Future Payments, Which Reflect Unexpected Future Service
No significant changes

(11) Estimate of Contributions Expected to be Paid to the Plan
No significant changes

(12) Amounts and Types of Securities Included in Plan Assets
No significant changes

(13) Alternative Method Used to Amortize Prior Service Amounts or Net Gains and Losses
No significant changes

(14) Substantive Comment Used to Account for Benefit Obligations
No significant changes

(15) Cost of Providing Special or Contractual Termination Benefits Recognized
No significant changes

(16) Reasons for Significant Gains/Losses Related to Changes in Defined Benefit Obligation and any Other Significant Change in the Benefit Obligations Assets Not Otherwise Apparent
No significant changes

(17) Accumulated Postretirement and Pension Benefit Obligation and Fair Value of Plan Assets for Defined Postretirement and Pension Benefit Plans
No significant changes

(18) Full Transition Surplus Impact of SSAP 102
No significant changes

B. Investment Policies and Strategies
No significant changes

C. The fair value of each class of plan assets
No significant changes

D. Basis Used to Determine Expected Long-Term Rate-of-Return
No significant changes

E. Defined Contribution Plan
No significant changes

F. Multiemployer Plans
No significant changes

G. Consolidated/Holding Company Plans
No significant changes

H. Postemployment Benefits and Compensated Absences
No significant changes

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)
No significant changes

NOTES TO FINANCIAL STATEMENTS

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

A. Activity During the Period

The Company received a capital contribution of \$35,000,000 from its parent on 8/31/2022.

NOTE 14 Liabilities, Contingencies and Assessments

No significant changes

NOTE 15 Leases

No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable - The Company does not maintain any financial instruments with off-balance sheet risk or financial instruments with concentrations of credit risk.

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable - There have been no such transfers during the reporting period

B. Transfer and Servicing of Financial Assets

Not applicable - There have been no such transfers during the reporting period

C. Wash Sales

Not applicable - The Company did not sell any NAIC designation 3 or below, or unrated securities during the reporting period and reacquire within 30 days of the sale date.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable - The Company does not maintain any uninsured plans or partially insured plans.

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

NOTE 20 Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

The Company's financial assets have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100-Fair Value Measurements. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset's classification is based on the lowest level input that is significant to its measurement. The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that the Company's pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 - Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where the significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

The following presents the Company's fair value hierarchy for assets and liabilities that are carried at fair value:

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock - Unaffiliated	\$ 1,244,395	\$ -	\$ 271,300	\$ -	\$ 1,515,695
Cash and Cash Equivalents	\$ 17,857,995	\$ -	\$ -	\$ -	\$ 17,857,995
Short-Term Investments	\$ 19,795,553	\$ -	\$ -	\$ -	\$ 19,795,553
SVO Identified Funds	\$ 410,400	\$ -	\$ -	\$ -	\$ 410,400
Total assets at fair value/NAV	\$ 39,308,343	\$ -	\$ 271,300	\$ -	\$ 39,579,643

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Common Stock - Unaffiliated	\$ 271,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,300
Total Assets	\$ 271,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,300

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

(3) Policies when Transfers Between Levels are Recognized
Not applicable

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement
Not applicable

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

As of September 30, 2022, the Company maintained no bonds rated NAIC 6.

As of September 30, 2022, the reported fair value of the Company's investment in Level 3 common stock included an investment in a non-affiliated entity of \$271,300.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Investment Securities: Fair values for investment securities are based on market prices published by the SVO, or in the absence of SVO published unit prices or when amortized cost is used by the SVO as the unit price, quoted market prices by other third party organizations, where available. For fixed maturity securities not actively traded, fair values are estimated using values obtained from independent pricing services.

Mortgage Loans: The fair value of the Company's mortgage loan portfolio is based on the present value of future cash flows at prevailing interest rates.

The following presents a summary of the carrying values and fair values of the Company's financial instruments:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Common Stock - Unaffiliated	\$ 1,515,695	\$ 1,515,695	\$ 1,515,695	\$ -	\$ -	\$ -	\$ -
Cash and Cash Equiv.	\$ 17,857,995	\$ 17,857,995	\$ 17,857,995	\$ -	\$ -	\$ -	\$ -
Short-Term Investments	\$ 19,795,553	\$ 19,795,553	\$ 19,795,553	\$ -	\$ -	\$ -	\$ -
Bonds	\$ 333,458,251	\$ 392,680,850	\$ -	\$ 333,458,251	\$ -	\$ -	\$ -
Preferred Stocks	\$ 4,178,234	\$ 4,350,034	\$ 4,178,234	\$ -	\$ -	\$ -	\$ -

D. Not Practicable to Estimate Fair Value
Not applicable

E. NAV Practical Expedient Investments
Not applicable

NOTE 21 Other Items
No significant changes

NOTE 22 Events Subsequent

The Company has evaluated events subsequent to this reporting period, and has determined that there were no significant events requiring recognition in the financial statements.

NOTE 23 Reinsurance
No significant changes

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination
Not applicable - The Company does not maintain retrospectively rated contracts or contracts subject to redetermination.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses
Not applicable - There have been no changes in the provision for incurred loss and loss adjustment expenses attributable to insured events or prior years.

NOTE 26 Intercompany Pooling Arrangements
Not applicable - The Company does not maintain any intercompany pooling arrangements.

NOTE 27 Structured Settlements
Not applicable - The Company has not recognized any structured settlements.

NOTE 28 Health Care Receivables
Not applicable - The Company does not maintain any health care receivables.

NOTE 29 Participating Policies
No significant changes

NOTE 30 Premium Deficiency Reserves
No significant changes

NOTE 31 Reserves for Life Contracts and Annuity Contracts
No significant changes

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics
No significant changes

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics
No significant changes

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected
No significant changes

NOTE 35 Separate Accounts
Not applicable - The Company does not maintain any separate accounts

NOTE 36 Loss/Claim Adjustment Expenses
Not applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No [X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [X] No []
- 2.2 If yes, date of change: 03/01/2022
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2020
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 03/16/2022
- 6.4 By what department or departments?
State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.3 Total payable for securities lending reported on the liability page. \$0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	200 Park Ave, New York, NY 10166
FHLB Boston	80 Boylston St. 9th Floor, Boston, MA 02199

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Penn Mutual Asset Management, LLC	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No [X]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
07518	Penn Mutual Asset Management, LLC	54930003G37UC4C5EV40	Securities and Exchange Commission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages \$ 0
- 1.12 Residential Mortgages \$ 0
- 1.13 Commercial Mortgages \$ 0
- 1.14 Total Mortgages in Good Standing \$ 0
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms \$ 0
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages \$ 0
- 1.32 Residential Mortgages \$ 0
- 1.33 Commercial Mortgages \$ 0
- 1.34 Total Mortgages with Interest Overdue more than Three Months \$ 0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages \$ 0
- 1.42 Residential Mortgages \$ 0
- 1.43 Commercial Mortgages \$ 0
- 1.44 Total Mortgages in Process of Foreclosure \$ 0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) \$ 0
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages \$ 0
- 1.62 Residential Mortgages \$ 0
- 1.63 Commercial Mortgages \$ 0
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate \$ 0
2. Operating Percentages:
- 2.1 A&H loss percent 0.000 %
- 2.2 A&H cost containment percent 0.000 %
- 2.3 A&H expense percent excluding cost containment expenses 0.000 %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date \$ 0
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date \$ 0
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A [X]
- 5.2 If no, explain:
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No [X]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

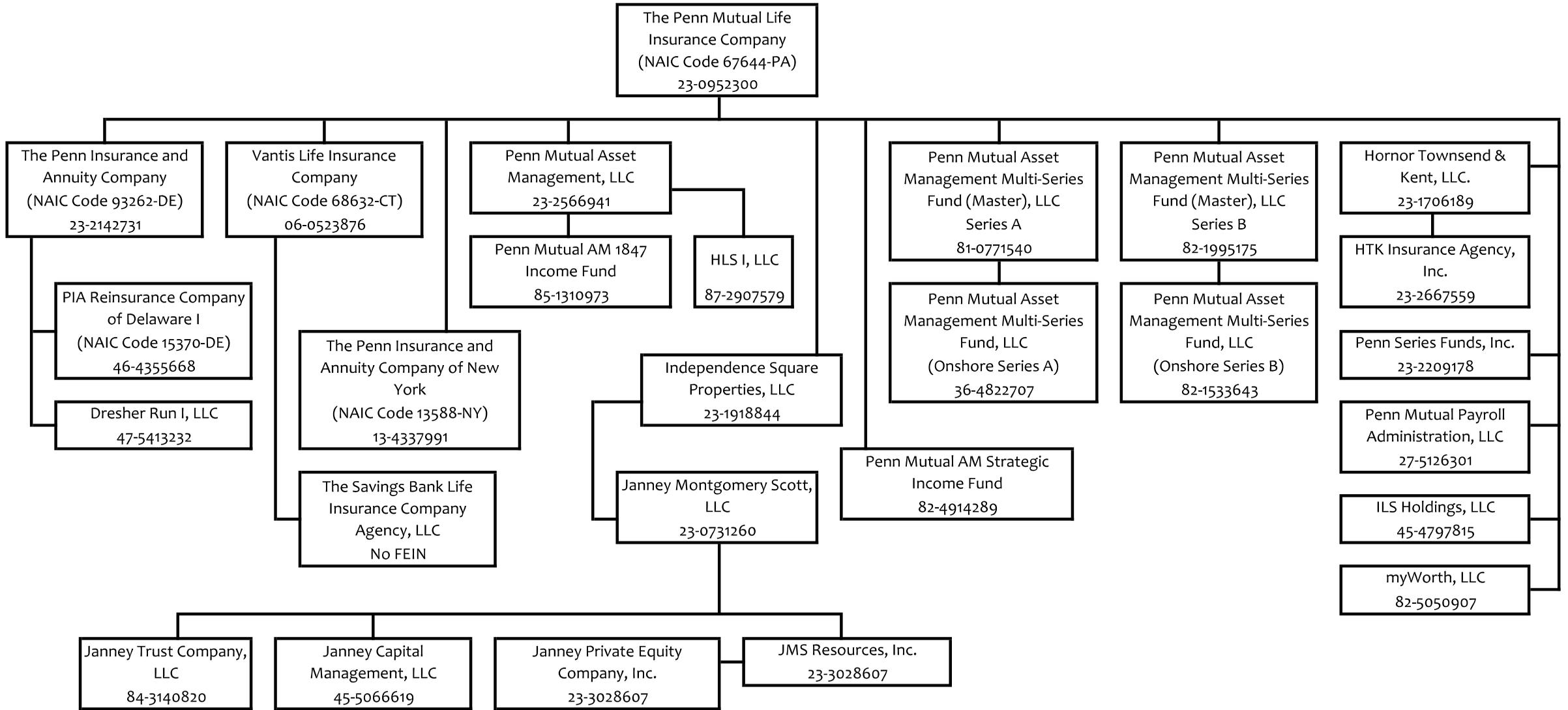
Current Year To Date - Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	192,952	211,634	0	0	404,585	0
2. Alaska	AK	L	12,848	0	0	0	12,848	0
3. Arizona	AZ	L	94,690	0	0	0	94,690	0
4. Arkansas	AR	L	72,283	0	0	0	72,283	0
5. California	CA	L	327,445	30,000	0	0	357,445	0
6. Colorado	CO	L	70,760	0	0	0	70,760	0
7. Connecticut	CT	L	8,589,575	1,958,940	663	0	10,549,177	0
8. Delaware	DE	L	112,796	13,517,207	0	0	13,630,003	0
9. District of Columbia	DC	L	46,262	0	0	0	46,262	0
10. Florida	FL	L	1,121,075	39,596	0	0	1,160,672	0
11. Georgia	GA	L	296,627	0	0	0	296,627	0
12. Hawaii	HI	L	11,549	0	0	0	11,549	0
13. Idaho	ID	L	29,813	0	0	0	29,813	0
14. Illinois	IL	L	167,843	7,000	0	0	174,843	0
15. Indiana	IN	L	102,911	0	0	0	102,911	0
16. Iowa	IA	L	36,970	30,000	0	0	66,970	0
17. Kansas	KS	L	77,359	0	0	0	77,359	0
18. Kentucky	KY	L	75,931	0	0	0	75,931	0
19. Louisiana	LA	L	320,003	0	0	0	320,003	0
20. Maine	ME	L	154,006	6,000	0	0	160,006	0
21. Maryland	MD	L	817,773	0	0	0	817,773	0
22. Massachusetts	MA	L	504,058	0	0	0	504,058	0
23. Michigan	MI	L	106,682	0	0	0	106,682	0
24. Minnesota	MN	L	176,624	0	0	0	176,624	0
25. Mississippi	MS	L	225,541	0	0	0	225,541	0
26. Missouri	MO	L	95,768	1,000	0	0	96,768	0
27. Montana	MT	L	791	0	0	0	791	0
28. Nebraska	NE	L	13,944	0	0	0	13,944	0
29. Nevada	NV	L	35,333	0	0	0	35,333	0
30. New Hampshire	NH	L	132,172	181,118	0	0	313,290	0
31. New Jersey	NJ	L	495,138	0	0	0	495,138	0
32. New Mexico	NM	L	18,959	0	0	0	18,959	0
33. New York	NY	N	0	0	0	0	0	0
34. North Carolina	NC	L	334,669	15,000	0	0	349,669	0
35. North Dakota	ND	L	1,839	0	0	0	1,839	0
36. Ohio	OH	L	183,351	0	0	0	183,351	0
37. Oklahoma	OK	L	53,853	0	0	0	53,853	0
38. Oregon	OR	L	65,157	0	0	0	65,157	0
39. Pennsylvania	PA	L	633,515	0	0	0	633,515	0
40. Rhode Island	RI	L	66,711	0	0	0	66,711	0
41. South Carolina	SC	L	237,974	7,500	0	0	245,474	0
42. South Dakota	SD	L	13,610	0	0	0	13,610	0
43. Tennessee	TN	L	191,665	0	0	0	191,665	0
44. Texas	TX	L	437,323	0	0	0	437,323	0
45. Utah	UT	L	42,705	0	0	0	42,705	0
46. Vermont	VT	L	99,231	0	0	0	99,231	0
47. Virginia	VA	L	318,091	0	0	0	318,091	0
48. Washington	WA	L	66,355	0	0	0	66,355	0
49. West Virginia	WV	L	40,246	0	0	0	40,246	0
50. Wisconsin	WI	L	114,336	1,000	0	0	115,336	0
51. Wyoming	WY	L	8,134	0	0	0	8,134	0
52. American Samoa	AS	N	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0
59. Subtotal	XXX	17,445,245	16,005,995	663	0	33,451,903	0	
90. Reporting entity contributions for employee benefits plans	XXX	0	0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX	75,338	0	0	0	75,338	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX	0	0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	50,109	0	0	0	50,109	0	0
94. Aggregate or other amounts not allocable by State	XXX	0	0	0	0	0	0	0
95. Totals (Direct Business)	XXX	17,570,692	16,005,995	663	0	33,577,350	0	0
96. Plus Reinsurance Assumed	XXX	0	0	0	0	0	0	0
97. Totals (All Business)	XXX	17,570,692	16,005,995	663	0	33,577,350	0	0
98. Less Reinsurance Ceded	XXX	4,751,247	2,472,788	0	0	7,224,035	0	0
99. Totals (All Business) less Reinsurance Ceded	XXX	12,819,445	13,533,207	663	0	26,353,315	0	0
DETAILS OF WRITE-INS								
58001	XXX							
58002	XXX							
58003	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0
9401	XXX							
9402	XXX							
9403	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX	0	0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 50 R - Registered - Non-domiciled RRGs..... 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
N - None of the above - Not allowed to write business in the state..... 7

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1- ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
.0850	The Penn Mutual Life Insurance Company	67644	23-0952300				The Penn Mutual Life Insurance Company	PA	UDP			0.000		NO	
.0850	The Penn Mutual Life Insurance Company	93262	23-2142731				The Penn Insurance and Annuity Company	DE	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company	15370	46-4355668				PIA Reinsurance Company of Delaware I	DE	IA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company		23-1706189				Hornor Townsend & Kent, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company		23-2667559				HTK Insurance Agency, Inc.	DE	NIA	Hornor Townsend & Kent, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-1918844				Independence Square Properties, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	94.480	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2566941				Penn Mutual Asset Management, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		85-1310973				Penn Mutual AM 1847 Income Fund	PA	OTH	Penn Mutual Asset Management, LLC	Influence	0.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2209178				Penn Series Fund, Inc.	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		27-5126301				Penn Mutual Payroll Administration, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		45-4797815				ILS Holdings, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		82-5050907				myWorth, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-0731260				Janney Montgomery Scott, LLC	PA	NIA	Independence Square Properties, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		45-5066619				Janney Capital Management, LLC	PA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-2159959				JMS Resources, Inc.	PA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		84-3140820				Janney Trust Company, LLC	NH	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		23-3028607				Janney Private Equity Company, Inc.	DE	NIA	JMS Resources, Inc.	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		47-5413232				Dresher Run I, LLC	DE	NIA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	
.0850	The Penn Mutual Life Insurance Company		81-0771540				Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series A	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		36-4822707				Penn Mutual Asset Management Multi-Series Fund LLC (onshore)	PA	OTH	Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series A	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		82-1995175				Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		82-1533643				Penn Mutual Asset Management Multi-Series Fund, LLC (onshore)	PA	OTH	Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		82-4914289				Penn Mutual AM Strategic Income Fund	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company		87-2907579				HLS I, LLC	DE	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	NO	1
.0850	The Penn Mutual Life Insurance Company	68632	06-0523876				Vantis Life Insurance Company	CT	RE	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	YES	
.0850	The Penn Mutual Life Insurance Company	13588	13-4337991				The Penn Insurance and Annuity Company of New York	NY	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
.0850	The Penn Mutual Life Insurance Company						The Savings Bank Life Insurance Company Agency, LLC	CT	DS	Vantis Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	NO	

Asterisk	Explanation
1	Entity over which The Penn Mutual Life Insurance Company has significant influence, but no ownership.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

AUGUST FILING

9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
--	-----

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Agents' Credit Balances	523,007	523,007	0	0
2505. Prepaid Expenses	440,456	440,456	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	963,463	963,463	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,197,278	5,406,876
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	15,847	56,206
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals	0	0
5. Deduct amounts received on disposals	0	0
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	2,634,768	0
8. Deduct current year's depreciation	148,623	265,804
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	2,429,734	5,197,278
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	2,429,734	5,197,278

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	1,897	5,976
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	1,897	4,079
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	1,897
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	0	1,897
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	1,897

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,000,000	5,000,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	5,000,000	5,000,000
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	5,000,000	5,000,000

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	442,995,874	452,363,636
2. Cost of bonds and stocks acquired	2,471,950	37,954,104
3. Accrual of discount	367,948	598,385
4. Unrealized valuation increase (decrease)	(1,098,121)	123,010
5. Total gain (loss) on disposals	(2,744,362)	404,695
6. Deduct consideration for bonds and stocks disposed of	42,048,574	46,583,481
7. Deduct amortization of premium	1,439,499	2,126,037
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	111,086
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	41,373	372,648
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	398,546,589	442,995,874
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	398,546,589	442,995,874

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	177,995,280	19,749,525	16,580,475	2,562,779	191,185,222	177,995,280	183,727,109	190,190,333
2. NAIC 2 (a)	204,456,453	0	5,953,969	(2,260,486)	209,825,696	204,456,453	196,241,998	208,180,648
3. NAIC 3 (a)	29,131,432	0	0	(1,076,058)	30,769,208	29,131,432	28,055,374	33,449,210
4. NAIC 4 (a)	3,581,026	0	7,247	424,132	3,498,900	3,581,026	3,997,911	4,098,047
5. NAIC 5 (a)	454,001	0	0	8	453,989	454,001	454,009	453,975
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	415,618,192	19,749,525	22,541,691	(349,625)	435,733,015	415,618,192	412,476,401	436,372,213
PREFERRED STOCK								
8. NAIC 1	1,239,541	0	0	(90,866)	1,500,251	1,239,541	1,148,675	1,531,495
9. NAIC 2	2,610,200	0	0	(74,600)	2,706,400	2,610,200	2,535,600	2,853,050
10. NAIC 3	726,200	0	0	(60,440)	777,080	726,200	665,760	821,520
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	4,575,941	0	0	(225,906)	4,983,731	4,575,941	4,350,035	5,206,065
15. Total Bonds and Preferred Stock	420,194,133	19,749,525	22,541,691	(575,531)	440,716,746	420,194,133	416,826,436	441,578,278

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 19,795,553 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
7709999999 Totals	19,795,553	xxx	19,749,525	0	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	19,749,525	0
3. Accrual of discount	46,028	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	0	0
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	19,795,553	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	19,795,553	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	9,318,100	4,279,270
2. Cost of cash equivalents acquired	55,216,867	62,807,669
3. Accrual of discount	17,089	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	56,736,410	57,768,839
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	7,815,646	9,318,100
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	7,815,646	9,318,100

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired

NONE

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
36202E-UL-1	GINNIE MAE II POOL		09/01/2022	PAYDOWN		38	38	37	38	0	0	0	0	0	38	0	0	0	1	07/01/2038	1.A
0109999999 Subtotal - Bonds - U.S. Governments						38	38	37	38	0	0	0	0	0	38	0	0	0	1	XXX	XXX
085209-AB-0	BERMUDA GOVERNMENT INTERNATIONAL BOND	D	08/15/2022	CALL 100.341186		225,768	225,000	225,000	225,000	0	0	0	0	0	225,000	0	0	0	11,164	01/03/2023	1.F FE
085209-AC-8	BERMUDA GOVERNMENT INTERNATIONAL BOND	D	09/22/2022	CALL 100.5753902		1,045,984	1,040,000	1,040,000	1,040,000	0	0	0	0	0	1,040,000	0	0	0	62,916	02/06/2024	1.F FE
0309999999 Subtotal - Bonds - All Other Governments						1,271,752	1,265,000	1,265,000	1,265,000	0	0	0	0	0	1,265,000	0	0	0	74,080	XXX	XXX
219764-SX-6	CORONA-NORCO UNIFIED SCHOOL DISTRICT		07/05/2022	RBC CAPITAL MARKETS		751,560	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	(248,440)	(248,440)	26,077	08/01/2044	1.D FE
83412P-JB-9	SOLANO COUNTY COMMUNITY COLLEGE DISTRICT		07/13/2022	CTGRP GLBL MKTS INC/		1,131,195	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	(368,805)	(368,805)	32,771	08/01/2041	1.C FE
0709999999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,882,755	2,500,000	2,500,000	2,500,000	0	0	0	0	0	2,500,000	0	(617,245)	(617,245)	58,848	XXX	XXX
312941-SU-2	FREDDIE MAC GOLD POOL		09/01/2022	PAYDOWN		7,697	7,697	7,875	7,756	0	(59)	0	(59)	0	7,697	0	0	0	208	08/01/2040	1.A
31378L-ME-5	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		09/01/2022	PAYDOWN		0	0	4,371	961	0	(258)	0	(258)	0	0	0	0	0	438	08/01/2025	1.A
3138X0-MP-0	FANNIE MAE POOL		09/01/2022	PAYDOWN		13,957	13,957	13,787	13,926	0	31	0	31	0	13,957	0	0	0	232	07/01/2028	1.A
313940-BT-2	FANNIE MAE REMICS		09/01/2022	PAYDOWN		22,855	22,855	21,637	22,791	0	63	0	63	0	22,855	0	0	0	758	07/01/2025	1.A
31417G-Y3-4	FANNIE MAE POOL		09/01/2022	PAYDOWN		13,135	13,135	13,028	13,115	0	20	0	20	0	13,135	0	0	0	222	06/01/2028	1.A
45200F-QE-7	ILLINOIS FINANCE AUTHORITY		07/01/2022	CALL 100		70,000	70,000	81,424	79,097	0	(9,097)	0	(9,097)	0	70,000	0	0	0	4,400	07/01/2033	1.C FE
544445-VU-0	CITY OF LOS ANGELES DEPARTMENT OF AIRPOR		07/19/2022	JEFFERIES & COMPANY		766,480	1,000,000	1,000,000	1,000,000	0	0	0	0	1,000,000	0	(233,520)	(233,520)	21,462	05/15/2041	1.D FE	
72919R-GR-4	PLUM BORO MUNICIPAL AUTHORITY SEWER REVE		08/17/2022	PERSHING & COMPANY		490,690	500,000	496,250	496,590	0	232	0	232	0	496,822	0	(6,132)	(6,132)	14,271	11/15/2029	1.C FE
898735-UH-3	CITY OF TUCSON AZ		08/09/2022	WELLS FARGO SECS LLC		929,220	1,200,000	1,200,000	1,200,000	0	0	0	0	1,200,000	0	(270,780)	(270,780)	49,314	07/01/2047	1.E FE	
955255-CS-7	WEST RANKIN UTILITY AUTHORITY		07/25/2022	RAYMOND JAMES & ASSO		758,300	1,000,000	982,500	982,581	0	242	0	242	0	982,823	0	(224,523)	(224,523)	25,839	01/01/2048	1.C FE
0909999999 Subtotal - Bonds - U.S. Special Revenues						3,072,334	3,827,644	3,819,872	3,816,817	0	(8,826)	0	(8,826)	0	3,807,289	0	(734,955)	(734,955)	117,144	XXX	XXX
00206R-HH-5	AT&T INC		07/05/2022	GOLDMAN SACHS & CO		248,003	250,000	251,213	250,833	0	(80)	0	(80)	0	250,753	0	(2,750)	(2,750)	8,497	02/15/2027	2.B FE
002824-BF-6	ABBOTT LABORATORIES		07/06/2022	UBS SECURITIES LLC		113,109	112,000	111,167	111,551	0	43	0	43	0	111,594	0	1,515	1,515	2,543	11/30/2026	1.E FE
00912X-AV-6	AIR LEASE CORP		08/15/2022	GOLDMAN SACHS & CO		473,675	500,000	491,205	494,980	0	552	0	552	0	495,532	0	(21,857)	(21,857)	15,910	04/01/2027	2.B FE
05531F-BE-2	TRUIST FINANCIAL CORP		08/04/2022	STATE STREET GLOBAL		1,503,975	1,500,000	1,492,725	1,496,044	0	627	0	627	0	1,496,671	0	7,304	7,304	37,463	06/05/2025	1.G FE
11043H-AA-6	BRITISH AIRWAYS 2018-1 CLASS A PASS THRO		09/20/2022	SINKING PAYMENT		28,241	28,241	28,009	28,056	0	185	0	185	0	28,241	0	0	0	874	09/20/2031	2.B FE
12648A-BB-5	CSMC TRUST 2014-1VR1		09/01/2022	PAYDOWN		31,419	31,419	31,043	31,328	0	91	0	91	0	31,419	0	0	0	732	11/01/2043	1.A
12672#-AA-6	CVS LEASE BACKED PASS 4.4704		09/10/2022	SINKING PAYMENT		8,320	8,320	8,320	8,320	0	0	0	0	0	8,320	0	0	0	261	09/10/2034	2.B
12674#-AA-6	CVS LEASE BACKED PASS		09/10/2022	SINKING PAYMENT		3,853	3,853	3,853	3,853	0	0	0	0	0	3,853	0	0	0	112	08/10/2035	2.B
14040H-BG-9	CAPITAL ONE FINANCIAL CORP		07/26/2022	GOLDMAN SACHS & CO		341,908	350,000	337,327	344,719	0	941	0	941	0	345,660	0	(3,752)	(3,752)	10,982	02/05/2025	2.A FE
17252M-AN-0	CINTAS CORP NO 2		07/20/2022	PERSHING & COMPANY		743,115	750,000	747,120	748,337	0	160	0	160	0	748,498	0	(5,383)	(5,383)	22,431	04/01/2027	1.G FE
17323T-AF-7	CITIGROUP MORTGAGE LOAN TRUST 2015-PP2		09/01/2022	PAYDOWN		26,105	26,105	26,869	26,380	0	(276)	0	(276)	0	26,105	0	0	0	769	01/01/2053	1.A
233046-AF-8	DB MASTER FINANCE LLC		08/20/2022	PAYDOWN		2,500	2,500	2,500	2,500	0	0	0	0	2,500	0	0	0	76	11/20/2047	2.B FE	
25755T-AH-3	DOMINO'S PIZZA MASTER ISSUER LLC		07/25/2022	PAYDOWN		2,500	2,500	2,500	2,500	0	0	0	0	2,500	0	0	0	77	07/25/2047	2.A FE	
30310X-AE-9	PREMIF 2019-K94 MORTGAGE TRUST		07/25/2022	SG AMERICAS SECURITI		954,805	1,000,000	1,022,434	1,017,414	0	(1,177)	0	(1,177)	0	1,016,237	0	(61,432)	(61,432)	26,220	07/01/2052	1.A
31620R-AF-2	FIDELITY NATIONAL FINANCIAL INC		09/01/2022	MATURITY		200,000	200,000	199,026	199,903	0	97	0	97	0	200,000	0	0	0	11,000	09/01/2022	2.B FE
37331N-AH-4	GEORGIA-PACIFIC LLC		08/11/2022	MORGAN STANLEY & CO		1,388,702	1,400,000	1,386,812	1,393,073	0	1,300	0	1,300	0	1,394,373	0	(5,671)	(5,671)	47,740	03/01/2025	1.G FE
375558-BF-9	GILEAD SCIENCES INC		08/17/2022	WELLS FARGO SECS LLC		994,290	1,000,000	983,910	990,392	0	1,385	0	1,385	0	991,777	0	2,513	2,513	35,283	03/01/2026	2.A FE
38143U-BH-7	GOLDMAN SACHS GROUP INC/THE		07/12/2022	GOLDMAN SACHS & CO		266,533	270,000	269,376	269,708	0	36	0	36	0	269,743	0	(3,210)	(3,210)	8,972	02/25/2026	2.A FE
38148L-AE-6	GOLDMAN SACHS GROUP INC/THE		07/19/2022	BNP PARIBAS SEC CORP		494,935	500,000	500,835	500,334	0	(59)	0	(59)	0	500,274	0	(5,339)	(5,339)	12,448	05/22/2025	2.A FE
39121J-AH-3	GREAT RIVER ENERGY		06/30/2022	CALL 100		142,000	142,000	142,000	142,000	0	0	0	0	142,000	0	0	0	6,341	07/01/2030	1.G FE	
458140-AS-9	INTEL CORP		07/11/2022	JPM SECURITIES-FIXED		352,461	350,000	349,479	349,787	0	32	0	32	0	349,819	0	2,642	2,642	12,374	07/29/2025	1.E FE
45866F-AD-6	INTERCONTINENTAL EXCHANGE INC		08/11/2022	GOLDMAN SACHS & CO		1,501,455	1,500,000	1,496,475	1,497,957	0	272	0	272	0	1,498,229	0	3,226	3,226	39,888	12/01/2025	1.G FE
59491B-AW-4	MICROSOFT CORP		07/06/2022	GOLDMAN SACHS & CO		504,585	500,000	495,105	498,893	0	282	0	282	0	499,175	0	5,410	5,410	10,220	12/15/2023	1.A FE
61746B-EF-9	MORGAN STANLEY		07/05/2022	CITIGROUP GLOBAL MKT		146,985	150,000	148,499	149,170	0	78	0	78	0	149,248	0	(2,263)	(2,263)	5,241	01/20/2027	1.G FE
61946G-AB-9	MOSAIC SOLAR LOANS 2017-2 LLC		09/20/2022	PAYDOWN		33,563	33,563	33,555	33,558	0	5	0	5	0	33,563	0	0	0	1,055	06/22/2043	2.A FE
650119-AA-8	NEW YORK UNIVERSITY		07/01/2022	CALL 100		24,000	24,000	24,000	24,000	0	0	0	0	24,000	0	0	0	1,257	07/01/2032	1.D FE	
69430B-HP-5	PACIFIC GAS AND ELECTRIC CO		07/11/2022	CITIGROUP GLOBAL MKT		451,500	500,000	498,670	499,258	0	90	0	90	0	499,348	0	(47,848)	(47,848)	12,783	03/01/2026	2.C FE
776743-AD-8	ROPER TECHNOLOGIES INC		07/06/2022	GOLDMAN SACHS & CO		117,997	120,000	119,981	119,988	0	0	0	0	0	119,989	0	(1,991)	(1,991)	2,571	12/15/2026	2.A FE
85208N-AD-2	SPRINT SPECTRUM CO LLC / SPRINT SPECTRUM		09/20/2022	SINKING PAYMENT		62,502	62,502	62,580	62,543	0	(41)	0	(41)	0	62,502	0	0	0	2,222	03/20/2025	2.A FE
88159D-AA-3	TES 2017-1 LLC		09/20/2022	PAYDOWN		938,572	938,572	938,444	938,432	0	140	0	140	0	938,572	0	0	0	38,544	10/20/2047	2.A FE
89147L-K*-0	TORTOISE ENERGY INFRASTRUCTURE CORP		09/27/2022	MATURITY		48,400	48,400	48,400	48,400	0	0	0	0	0	48,400	0	0	0	2,038		

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22			
										11	12	13	14	15										
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol			
56578K-AL-3	MARATHON CLO XI LTD	D	07/29/2022	BNP PARIBAS SEC CORP		900,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	(100,000)	(100,000)	23,667	04/18/2031	1.C FE			
59111R-AA-0	METAL 2017-1 LLC	D	09/15/2022	PAYDOWN		6,260	6,260	6,260	6,260	0	0	0	0	0	6,260	0	0	0	177	10/15/2042	4.B FE			
82620K-AL-7	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV	D	08/15/2022	GOLDMAN SACHS & CO		470,670	500,000	450,230	468,577	0	3,804	0	3,804	0	472,381	0	(1,711)	(1,711)	9,857	10/15/2026	1.E FE			
88606W-AA-0	THUNDERBOLT AIRCRAFT LEASE LTD	D	09/15/2022	PAYDOWN		20,834	20,834	20,833	20,834	0	0	0	0	0	20,834	0	0	0	586	05/17/2032	2.B FE			
88606W-AB-8	THUNDERBOLT AIRCRAFT LEASE LTD	D	09/15/2022	PAYDOWN		987	987	997	990	0	(3)	0	(3)	0	987	0	0	0	38	05/17/2032	4.B FE			
N6510@-AC-7	NUTRECO N V	D	07/11/2022	MATURITY		775,000	775,000	775,000	775,000	0	0	0	0	0	775,000	0	0	0	32,705	07/11/2022	2.C			
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						14,718,777	15,012,835	14,910,778	14,960,767	0	8,597	0	8,597	0	14,969,365	0	(250,587)	(250,587)	459,980	XXX	XXX			
2509999997. Total - Bonds - Part 4						20,945,656	22,605,517	22,495,687	22,542,622	0	(229)	0	(229)	0	22,541,692	0	(1,602,787)	(1,602,787)	710,053	XXX	XXX			
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
2509999999. Total - Bonds						20,945,656	22,605,517	22,495,687	22,542,622	0	(229)	0	(229)	0	22,541,692	0	(1,602,787)	(1,602,787)	710,053	XXX	XXX			
4509999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
4509999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
5989999997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
5999999999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
6009999999 - Totals						20,945,656	XXX	22,495,687	22,542,622	0	(229)	0	(229)	0	22,541,692	0	(1,602,787)	(1,602,787)	710,053	XXX	XXX			

E05.1

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Vantis Life Insurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of New York Mellon New York, NY		0.000	0	0	182,584	182,584	182,584	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	1,159,982	36,616,625	9,323,223	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	29,759	38,008	38,194	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	0	0	0	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	11,407	7,129	3,179	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	15,579	24,857	51,065	.XXX.
Lakeside Bank Chicago, IL		0.000	0	0	65,155	110,330	111,814	.XXX.
Berkshire Bank San Antonio, TX		0.000	0	0	65,719	88,267	107,400	.XXX.
JP Morgan Chase Bank, NA New York, NY		0.000	0	0	599,851	588,122	224,891	.XXX.
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0199999. Totals - Open Depositories	XXX	XXX	0	0	2,130,036	37,655,921	10,042,349	.XXX.
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0399999. Total Cash on Deposit	XXX	XXX	0	0	2,130,036	37,655,921	10,042,349	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	.XXX.
0599999. Total - Cash	XXX	XXX	0	0	2,130,036	37,655,921	10,042,349	.XXX.

