

QUARTERLY STATEMENT

OF THE

Vantis Life Insurance Company

TO THE

Insurance Department

OF THE

STATE OF

Connecticut

FOR THE QUARTER ENDED
SEPTEMBER 30, 2021

LIFE, ACCIDENT AND HEALTH

FRATERNAL BENEFIT SOCIETIES

2021



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

Vantis Life Insurance Company

NAIC Group Code 0850 (Current) 0850 (Prior) NAIC Company Code 68632 Employer's ID Number 06-0523876

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 06/20/1963 Commenced Business 01/01/1964

Statutory Home Office 200 Day Hill Road Windsor, CT, US 06095 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 200 Day Hill Road Windsor, CT, US 06095 (Street and Number) (City or Town, State, Country and Zip Code) 860-298-6000 (Area Code) (Telephone Number)

Mail Address 200 Day Hill Road Windsor, CT, US 06095 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 200 Day Hill Road Windsor, CT, US 06095 (Street and Number) (City or Town, State, Country and Zip Code) 860-298-6000 (Area Code) (Telephone Number)

Internet Website Address www.vantislifecom

Statutory Statement Contact Gail Elaine Lataille 860-298-6004 (Name) (Area Code) (Telephone Number) gjataille@vantislifecom 860-298-5413 (E-mail Address) (FAX Number)

OFFICERS

President, COO & Corporate Secretary Scott Edward Smith Vice President of Operations Paula Egan SVP, Treasurer & CFO Gail Elaine Lataille Chairman & Chief Executive Officer Eileen Claire McDonnell

OTHER

Justin Mark Wyant #, Appointed Actuary

DIRECTORS OR TRUSTEES

Eileen Claire McDonnell David Michael O'Malley Thomas Henry Harris David Michael Raszeja Victoria Marie Robinson Scott Edward Smith Richard Matthew Klenk

State of Connecticut SS: County of Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Signature of Scott Edward Smith

Signature of Gail Elaine Lataille

Signature of Paula Egan

Scott Edward Smith President, COO & Corporate Secretary

Gail Elaine Lataille SVP, Treasurer & CFO

Paula Egan Vice President of Operations

Subscribed and sworn to before me this 8th day of November 2021

- a. Is this an original filing? Yes [X] No [] b. If no, 1. State the amendment number..... 2. Date filed 3. Number of pages attached.....

Pamela Walker

Signed on 2021/11/08 14:53:01 -8:00

Commonwealth of Pennsylvania - Notary Seal PAMELA WALKER, Notary Public Montgomery County My Commission Expires Sep 13, 2023 Commission Number 1357170

Notary Stamp 2021/11/08 15:53:01 PST

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantiv Life Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	449,007,834	0	449,007,834	445,835,970
2. Stocks:				
2.1 Preferred stocks	5,047,600	0	5,047,600	5,072,500
2.2 Common stocks	1,346,720	0	1,346,720	1,455,166
3. Mortgage loans on real estate:				
3.1 First liens	2,922	0	2,922	5,976
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	5,262,615	0	5,262,615	5,406,876
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$3,085,699), cash equivalents (\$10,066,875) and short-term investments (\$0)	13,152,574	0	13,152,574	6,995,786
6. Contract loans (including \$0 premium notes)	6,745,688	0	6,745,688	6,910,750
7. Derivatives	0	0	0	0
8. Other invested assets	5,000,000	0	5,000,000	5,000,000
9. Receivables for securities	0	0	0	110,000
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	485,565,953	0	485,565,953	476,793,024
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	5,049,316	0	5,049,316	5,112,605
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	498,765	19,738	479,027	693,656
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	7,863,907	0	7,863,907	7,907,194
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	6,077,645	0	6,077,645	6,310,786
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	77,633	0	77,633	73,329
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	156,816	0	156,816	88,902
18.2 Net deferred tax asset	0	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	1,340,007	1,317,510	22,497	48,516
21. Furniture and equipment, including health care delivery assets (\$0)	97,990	97,990	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	567,304	0	567,304	1,408,279
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	46,954,751	575,023	46,379,728	45,643,544
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	554,250,087	2,010,261	552,239,826	544,079,835
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	554,250,087	2,010,261	552,239,826	544,079,835
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. COLI	43,918,033	0	43,918,033	43,088,418
2502. SERP assets	2,429,285	0	2,429,285	2,429,103
2503. Other receivable	32,410	0	32,410	126,023
2598. Summary of remaining write-ins for Line 25 from overflow page	575,023	575,023	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	46,954,751	575,023	46,379,728	45,643,544

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 447,111,084 less \$ 0 included in Line 6.3 (including \$ 0 Modco Reserve)	447,111,084	437,978,302
2. Aggregate reserve for accident and health contracts (including \$ 0 Modco Reserve)	2,129	2,183
3. Liability for deposit-type contracts (including \$ 0 Modco Reserve)	19,765,195	19,959,324
4. Contract claims:		
4.1 Life	1,763,650	1,781,260
4.2 Accident and health	0	0
5. Policyholders' dividends/refunds to members \$ 0 and coupons \$ 0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ 0 Modco)	127,501	139,679
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ 0 Modco)	147,075	131,200
6.3 Coupons and similar benefits (including \$ 0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ 0 discount; including \$ 0 accident and health premiums	181,764	128,698
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$ 0 accident and health experience rating refunds of which \$ 0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$ 0 assumed and \$ 1,896,921 ceded	1,896,921	1,868,760
9.4 Interest Maintenance Reserve	1,638,958	1,374,022
10. Commissions to agents due or accrued-life and annuity contracts \$ 0, accident and health \$ 0 and deposit-type contract funds \$ 0	4,412	17,118
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	1,445,207	1,453,236
13. Transfers to Separate Accounts due or accrued (net) (including \$ 0 accrued for expense allowances recognized in reserves, net of reinsured allowances)	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	316,872	62,921
15.1 Current federal and foreign income taxes, including \$ 0 on realized capital gains (losses)	0	0
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee	20,375	36,298
18. Amounts held for agents' account, including \$ 0 agents' credit balances	0	0
19. Remittances and items not allocated	48,258	204,877
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$ 0 and interest thereon \$ 0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	4,848,005	4,401,316
24.02 Reinsurance in unauthorized and certified (\$ 0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ 0) reinsurers	0	0
24.04 Payable to parent, subsidiaries and affiliates	0	0
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	3,000,000	0
24.10 Payable for securities lending	0	0
24.11 Capital notes \$ 0 and interest thereon \$ 0	0	0
25. Aggregate write-ins for liabilities	6,214,263	6,155,571
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	488,531,669	475,694,765
27. From Separate Accounts Statement	0	0
28. Total liabilities (Lines 26 and 27)	488,531,669	475,694,765
29. Common capital stock	1,000,000	1,000,000
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	74,910,729	74,910,729
34. Aggregate write-ins for special surplus funds	3,979,160	4,638,430
35. Unassigned funds (surplus)	(16,181,732)	(12,164,089)
36. Less treasury stock, at cost:		
36.1 0 shares common (value included in Line 29 \$ 0)	0	0
36.2 0 shares preferred (value included in Line 30 \$ 0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ 0 in Separate Accounts Statement)	62,708,157	67,385,070
38. Totals of Lines 29, 30 and 37	63,708,157	68,385,070
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	552,239,826	544,079,835
DETAILS OF WRITE-INS		
2501. SERP liabilities	5,195,200	5,011,590
2502. Unclaimed funds for escheat	1,019,063	1,143,981
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	6,214,263	6,155,571
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401. Deferral of annuity reinsurance gain	3,979,160	4,638,430
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	3,979,160	4,638,430

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	21,467,526	12,928,757	17,122,918
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	14,909,402	14,793,076	19,974,593
4. Amortization of Interest Maintenance Reserve (IMR)	155,741	136,119	181,493
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	664,211	681,328	904,500
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	3,283,157	3,384,045	4,978,164
9. Totals (Lines 1 to 8.3)	40,480,037	31,923,325	43,161,668
10. Death benefits	8,545,548	8,351,605	11,134,500
11. Matured endowments (excluding guaranteed annual pure endowments)	0	20,000	20,000
12. Annuity benefits	4,623,152	2,521,364	4,053,027
13. Disability benefits and benefits under accident and health contracts	49,839	58,048	71,944
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	11,309,652	6,731,149	9,883,604
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	638,137	608,181	815,728
18. Payments on supplementary contracts with life contingencies	1,650	1,650	2,200
19. Increase in aggregate reserves for life and accident and health contracts	9,132,726	5,611,887	4,562,995
20. Totals (Lines 10 to 19)	34,300,704	23,903,884	30,543,998
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	944,963	812,082	1,097,410
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	7,671,154	7,579,076	11,116,301
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,057,727	983,117	1,236,949
25. Increase in loading on deferred and uncollected premiums	171,031	242,982	488,522
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27. Aggregate write-ins for deductions	0	14,633	0
28. Totals (Lines 20 to 27)	44,145,579	33,535,774	44,483,180
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(3,665,542)	(1,612,449)	(1,321,512)
30. Dividends to policyholders and refunds to members	217,982	212,799	272,869
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(3,883,524)	(1,825,248)	(1,594,381)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(268,641)	(52,183)	(52,183)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(3,614,883)	(1,773,065)	(1,542,198)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 0 (excluding taxes of \$ 111,825 transferred to the IMR)	0	(28,674)	(28,674)
35. Net income (Line 33 plus Line 34)	(3,614,883)	(1,801,739)	(1,570,872)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	68,385,070	91,012,451	91,012,451
37. Net income (Line 35)	(3,614,883)	(1,801,739)	(1,570,872)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(192,749)	(181,253)	(4,931)
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	0	(44,451)	(44,451)
41. Change in nonadmitted assets	236,678	316,288	660,279
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	(446,689)	(662,688)	(1,068,375)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	(659,270)	(665,669)	(887,558)
52. Dividends to stockholders	0	(19,447,584)	(19,447,584)
53. Aggregate write-ins for gains and losses in surplus	0	0	(263,889)
54. Net change in capital and surplus for the year (Lines 37 through 53)	(4,676,913)	(22,487,096)	(22,627,381)
55. Capital and surplus, as of statement date (Lines 36 + 54)	63,708,157	68,525,355	68,385,070
DETAILS OF WRITE-INS			
08.301. Miscellaneous income	1,794,272	1,874,947	2,964,059
08.302. COLI - change in cash surrender value	829,615	843,429	1,126,547
08.303. Recognition of deferred reinsurance gain	659,270	665,669	887,558
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	3,283,157	3,384,045	4,978,164
2701. Miscellaneous expenses	0	14,633	0
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	0	14,633	0
5301. Change in additional minimum benefit liability	0	0	(263,889)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	(263,889)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	21,618,200	13,068,472	17,018,564
2. Net investment income	16,012,717	15,592,034	21,151,238
3. Miscellaneous income	3,288,098	4,065,373	5,882,664
4. Total (Lines 1 to 3)	40,919,015	32,725,879	44,052,466
5. Benefit and loss related payments	24,924,284	17,617,073	24,457,753
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	9,432,599	15,283,632	18,450,649
8. Dividends paid to policyholders	214,285	210,549	273,615
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)	(156,816)	14,891	(255,940)
10. Total (Lines 5 through 9)	34,414,352	33,126,145	42,926,077
11. Net cash from operations (Line 4 minus Line 10)	6,504,663	(400,266)	1,126,389
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	31,022,935	14,818,692	20,596,110
12.2 Stocks	0	0	0
12.3 Mortgage loans	3,054	9,384	21,994
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	3,110,000	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	34,135,989	14,828,076	20,618,104
13. Cost of investments acquired (long-term only):			
13.1 Bonds	34,761,723	48,164,782	53,377,926
13.2 Stocks	0	1,774,000	1,774,000
13.3 Mortgage loans	0	0	0
13.4 Real estate	55,092	125,482	223,328
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	110,000
13.7 Total investments acquired (Lines 13.1 to 13.6)	34,816,815	50,064,264	55,485,254
14. Net increase (or decrease) in contract loans and premium notes	(165,062)	45,512	112,914
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(515,764)	(35,281,700)	(34,980,064)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(194,129)	0	(744,691)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	362,018	4,354,614	3,409,594
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	167,889	4,354,614	2,664,903
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	6,156,788	(31,327,352)	(31,188,772)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	6,995,786	38,184,558	38,184,558
19.2 End of period (Line 18 plus Line 19.1)	13,152,574	6,857,206	6,995,786

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT 1**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	0	0	0
2. Ordinary life insurance	15,468,231	16,327,918	21,699,307
3. Ordinary individual annuities	11,799,175	1,857,022	3,525,146
4. Credit life (group and individual)	25	0	71
5. Group life insurance	1,613,719	1,723,721	2,256,593
6. Group annuities	0	0	0
7. A & H - group	966	1,593	2,051
8. A & H - credit (group and individual)	0	62	0
9. A & H - other	0	0	0
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	28,882,116	19,910,316	27,483,168
12. Fraternal (Fraternal Benefit Societies Only)	0	0	0
13. Subtotal (Lines 11 through 12)	28,882,116	19,910,316	27,483,168
14. Deposit-type contracts	0	0	0
15. Total (Lines 13 and 14)	28,882,116	19,910,316	27,483,168
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern
A. Accounting Practices

The financial statements of Vantis Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Connecticut Insurance Department.

The Company recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Connecticut Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Connecticut. The state has adopted certain prescribed accounting practices that may differ from those found in NAIC SAP.

At the time of Codification (fiscal year 2001), the Company was writing premium exclusively in the State of Connecticut, a state where the Valuation of Life Insurance Policies Model Regulation (Regulation XXX) has not been adopted. As a result, for some of its individual level term life insurance policies, the Company currently holds a Gross Premium Valuation (GPV) reserve subject to a floor of the larger of the unitary basis reserves and "1/2 cbarx", as prescribed by the State of Connecticut. The GPV reflects realistic assumptions for lapses, mortality, and expenses, adjusted for potential adverse deviations and valuation assumptions for interest rates.

The current reinsurance arrangement is 80% first dollar quota share yearly renewable term on these policies. If Regulation XXX reserves had been held, the reinsurance arrangement would have been 80% or 90% first dollar quota share coinsurance, thus reducing the reserve impact by 80% or 90%.

The current retained reserve is \$6,410,679. If these contracts had been 90% reinsured on a coinsurance basis and Regulation XXX reserves were held, the retained reserve would be \$4,144,586.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed or permitted by the State of Connecticut is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (3,614,883)	\$ (1,570,872)
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP: GPV versus XXX reserves				\$ (1,753,369)	\$ (1,905,309)
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (3,614,883)	\$ (1,570,872)
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 63,708,157	\$ 68,385,070
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP: GPV versus XXX reserves				\$ 19,625,734	\$ 21,379,103
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 63,708,157	\$ 68,385,070

B. Use of Estimates in the Preparation of the Financial Statements
 No significant changes

C. Accounting Policy

- (1) Cash equivalents include investments purchased with maturities of three months or less.
- (2) **Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method**
 Bonds with an NAIC designation of 1 to 5 are valued at amortized cost. All other bonds are valued at the lower of cost or fair value. Fair value is determined using an external pricing service or management's pricing models. The Company considers an impairment to be other-than-temporary if: (a) the Company's intent is to sell, (b) the Company will more likely than not be required to sell, (c) the Company does not have the intent and ability to hold the security for a period of time sufficient to recover the amortized cost basis, or (d) the Company does not expect to recover the entire amortized cost basis. The Company conducts a periodic management review of all bonds including those in default, not-in-good standing, or otherwise designated by management. The Company also considers other qualitative and quantitative factors in determining the existence of OTTI including, but not limited to, unrealized loss trend analysis and significant short-term changes in value, default rates, delinquency rates, percentage of nonperforming loans, prepayments, and severities. If the impairment is other-than-temporary, the non-interest loss portion of the impairment is recorded through realized losses, and the interest related portion of the loss is disclosed in the notes to the financial statements. The non-interest portion is determined based on the Company's "best estimate" of future cash flows discounted to a present value using the appropriate yield. The difference between the present value of the best estimate of cash flows and the amortized cost is the non-interest loss. The remaining difference between the amortized cost and the fair value is the interest loss.
- (3) **Basis for Common Stocks**
 Common stocks are stated at market.
- (4) **Basis for Preferred Stocks**
 Preferred stocks are stated in accordance with guidance provided in SSAP NO. 32 - Preferred Stock.
- (5) **Basis for Mortgage Loans**
 Mortgage loans on real estate are stated at the aggregate carrying value less accrued interest.
- (6) **Basis for Loan-Backed Securities and Adjustment Methodology**
 For fixed income securities that do not have a fixed schedule of payments, including asset-backed and mortgage-backed securities, the effect on amortization or accretion is revalued periodically based on the current estimated cash flows. Prepayment assumptions are based on borrower constraints and economic incentives such as original term, age, and coupon of the loan as affected by the interest rate environment. Cash flow assumptions for structured securities are obtained from broker dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment.
- (7) **Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities**
 The Company has no investments in subsidiaries, controlled or affiliated entities.
- (8) **Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities**
 The Company has no investments in joint ventures, partnerships or limited liability entities.
- (9) **Accounting Policies for Derivatives**
 The Company does not have investments in derivatives.
- (10) **Anticipated Investment Income Used in Premium Deficiency Calculation**
 Not applicable

NOTES TO FINANCIAL STATEMENTS

(11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses
Not applicable

(12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period
None

(13) Method Used to Estimate Pharmaceutical Rebate Receivables
Not applicable

D. Going Concern
The Company evaluated its ability to continue as a going concern, and no substantial doubts were raised.

NOTE 2 Accounting Changes and Corrections of Errors

The Company had no accounting changes or corrections of errors during the statement period.

NOTE 3 Business Combinations and Goodwill

Not applicable

NOTE 4 Discontinued Operations

The Company had no discontinued operations.

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans
The Company did not invest in any mortgage loans during the statement period.

B. Debt Restructuring
The Company had no debt during the statement period.

C. Reverse Mortgages
The Company does not invest in reverse mortgages.

D. Loan-Backed Securities
(1) Prepayment assumptions for mortgage-backed/asset-backed securities were obtained from the Company's investment advisor.
(2) The Company did not recognize any other-than-temporary impairments on loan-backed securities during the nine-month period ended September 30, 2021.
(3) The Company did not recognize any other-than-temporary impairments on loan-backed securities during the nine-month period ended September 30, 2021.

(4)

a) The aggregate amount of unrealized losses:		
1. Less than 12 Months	\$	42,083
2. 12 Months or Longer	\$	313,190
b) The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 Months	\$	6,266,995
2. 12 Months or Longer	\$	13,352,946

(5) In making impairment assessments, the Company considers past events, current conditions, and reasonable and supportable forecasts. In addition, the Company considers external investment advisor analyses, industry analyst reports and forecasts, sector credit ratings, the current financial condition of the guarantor of the security, and other market data that is relevant to the collectability of the security.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions
The Company did not have any repurchase agreements during the statement period.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
The Company did not have any repurchase agreements during the statement period.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
The Company did not have any repurchase agreements during the statement period.

H. Repurchase Agreements Transactions Accounted for as a Sale
The Company did not have any repurchase agreements during the statement period.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
The Company did not have any repurchase agreements during the statement period.

J. Real Estate
No significant changes

K. Low Income Housing tax Credits (LIHTC)
The Company does not invest in low-income housing tax credits.

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. Collateral held under security lending agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c. Subject to repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Subject to reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Subject to dollar repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Placed under option contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
i. FHLB capital stock	\$ 35,500	\$ -	\$ -	\$ -	\$ 35,500	\$ 35,500	\$ -
j. On deposit with states	\$ 5,689,863	\$ -	\$ -	\$ -	\$ 5,689,863	\$ 5,703,898	\$ (14,035)
k. On deposit with other regulatory bodies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ 508,776	\$ -	\$ -	\$ -	\$ 508,776	\$ 626,888	\$ (118,112)
m. Pledged as collateral not captured in other categories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
n. Other restricted assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
o. Total Restricted Assets	\$ 6,234,139	\$ -	\$ -	\$ -	\$ 6,234,139	\$ 6,366,286	\$ (132,147)

(a) Subset of Column 1

(b) Subset of Column 3

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
	Total Non-admitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	0.000%	0.000%
b. Collateral held under security lending agreements	\$ -	\$ -	0.000%	0.000%
c. Subject to repurchase agreements	\$ -	\$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements	\$ -	\$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	\$ -	\$ -	0.000%	0.000%
g. Placed under option contracts	\$ -	\$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	\$ -	\$ -	0.000%	0.000%
i. FHLB capital stock	\$ -	\$ 35,500	0.006%	0.006%
j. On deposit with states	\$ -	\$ 5,689,863	1.027%	1.030%
k. On deposit with other regulatory bodies	\$ -	\$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)	\$ -	\$ 508,776	0.092%	0.092%
m. Pledged as collateral not captured in other categories	\$ -	\$ -	0.000%	0.000%
n. Other restricted assets	\$ -	\$ -	0.000%	0.000%
o. Total Restricted Assets	\$ -	\$ 6,234,139	1.125%	1.129%

(c) Column 5 divided by Asset Page, Column 1, Line 28

(d) Column 9 divided by Asset Page, Column 3, Line 28

M. Working Capital Finance Investments

The Company did not have any working capital finance investments during the statement period.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any assets or liabilities that are offset and reported net in accordance with a valued right to offset during the statement period.

O. 5GI Securities - Not applicable

The Company did not have any 5GI securities as of the statement date.

P. Short Sales - Not applicable

The Company did not have any short sales during the statement period.

Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>	<u>Separate Account</u>
1. Number of CUSIPs	11	0
2. Aggregate Amount of Investment Income	\$ 369,707	\$ -

R. Reporting Entity's Share of Cash Pool by Asset Type

Not applicable

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

NOTE 7 Investment Income

No significant changes

NOTE 8 Derivative Instruments

The Company does not invest in derivatives.

NOTE 9 Income Taxes

No significant changes

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

NOTE 11 Debt

No significant changes

B. FHLB (Federal Home Loan Bank) Agreements

(1) The Company is a member of the Federal Home Loan Bank (FHLB) of Boston. Through its membership, the Company has conducted business activity

NOTES TO FINANCIAL STATEMENTS

(2) FHLB Capital Stock
a. Aggregate Totals

	1 Total 2+3	2 General Account	3 Separate Accounts
1. Current Year			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 10,000	\$ 10,000	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ 25,000	\$ 25,000	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 35,000	\$ 35,000	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ -	XXX	XXX
2. Prior Year-end			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 11,814	\$ 11,814	\$ -
(c) Activity Stock	\$ -	\$ -	\$ -
(d) Excess Stock	\$ 23,686	\$ 23,686	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 35,500	\$ 35,500	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 616,749	XXX	XXX

11B(2)a1(f) should be equal to or greater than 11B(4)a1(d)

11B(2)a2(f) should be equal to or greater than 11B(4)a2(d)

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

			Eligible for Redemption			
	1 Current Year Total (2+3+4+5+6)	2 Not Eligible for Redemption	3 Less Than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
Membership Stock						
1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Class B	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 534,280	\$ 508,776	\$ -
2. Current Year General Account Total Collateral Pledged	\$ 534,280	\$ 508,776	\$ -
3. Current Year Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Total Collateral Pledged	\$ 659,781	\$ 626,888	\$ -

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1. Current Year Total General and Separate Accounts Maximum Collateral Pledged (Lines 2+3)	\$ 570,911	\$ 543,220	\$ -
2. Current Year General Account Maximum Collateral Pledged	\$ 570,911	\$ 543,220	\$ -
3. Current Year Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ 659,781	\$ 626,888	\$ -

(4) Borrowing from FHLB

a. Amount as of Reporting Date

	1 Total 2+3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
1. Current Year				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ -	\$ -	\$ -	\$ -
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ -	\$ -	\$ -	\$ -
2. Prior Year end				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ -	\$ -	\$ -	\$ -
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ -	\$ -	\$ -	\$ -

b. Maximum Amount During Reporting Period (Current Year)

	1 Total 2+3	2 General Account	3 Separate Accounts
1. Debt	\$ -	\$ -	\$ -
2. Funding Agreements	\$ -	\$ -	\$ -
3. Other	\$ -	\$ -	\$ -
4. Aggregate Total (1+2+3)	\$ -	\$ -	\$ -

11B(4)b4 (Columns 1, 2 and 3) should be equal to or greater than 11B(4)a1(d) (Columns 1, 2 and 3 respectively)

NOTES TO FINANCIAL STATEMENTS

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant changes

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2021	2020	2021	2020	2021	2020
(4) Components of net periodic benefit cost						
a. Service cost	\$ -	\$ -	\$ 72,000	\$ 90,980	\$ -	\$ -
b. Interest cost	\$ -	\$ -	\$ 111,610	\$ 114,793	\$ -	\$ -
c. Expected return on plan assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
d. Transition asset or obligation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. Gains and losses	\$ -	\$ -	\$ -	\$ 395,169	\$ -	\$ -
f. Prior service cost or credit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. Gain or loss recognized due to a settlement or curtailment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h. Total net periodic benefit cost	\$ -	\$ -	\$ 183,610	\$ 600,942	\$ -	\$ -

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant changes

NOTE 14 Liabilities, Contingencies and Assessments

No significant changes

NOTE 15 Leases

No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

period.

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. There have been no transfers of receivable reporting as sales during the reporting period.

B. There have been no transfers of receivable reporting as sales during the reporting period.

C. Wash Sales

(1) The Company did not sell any NAIC designation 3 or below, or unrated securities during the reporting period and reacquired within 30 days of the sale date.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

NOTE 20 Fair Value Measurements

The Company's financial assets have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100-Fair Value Measurements. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset's classification is based on the lowest level input that is significant to its measurement. The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that the Company's pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 - Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where the significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

The following presents the Company's fair value hierarchy for assets and liabilities that are carried at fair value:

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash and cash equivalents	\$ 13,152,574	\$ -	\$ -	\$ -	\$ 13,152,574
Preferred stocks	\$ 297,600	\$ -	\$ -	\$ -	\$ 297,600
Common stocks	\$ 1,311,220	\$ -	\$ 35,500	\$ -	\$ 1,346,720
Total assets at fair value/NAV	\$ 14,761,394	\$ -	\$ 35,500	\$ -	\$ 14,796,894

B. Not applicable

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 495,052,675	\$ 449,007,834	\$ 539,460	\$ 494,513,215	\$ -	\$ -	\$ -
Cash and cash equivalents	\$ 13,152,574	\$ 13,152,574	\$ 13,152,574	\$ -	\$ -	\$ -	\$ -
Common stocks	\$ 1,346,720	\$ 1,346,720	\$ 1,331,220	\$ -	\$ 355	\$ -	\$ -
Preferred stocks	\$ 5,307,322	\$ 5,047,600	\$ 5,307,322	\$ -	\$ -	\$ -	\$ -

D. Not applicable

E. Not applicable

NOTES TO FINANCIAL STATEMENTS

NOTE 21 Other Items

No significant changes

NOTE 22 Events Subsequent

statements.

NOTE 23 Reinsurance

No significant changes

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

There have been no changes in the provision for incurred loss and loss adjustment expenses attributable to insured events or prior years.

NOTE 26 Intercompany Pooling Arrangements

Not applicable

NOTE 27 Structured Settlements

Not applicable

NOTE 28 Health Care Receivables

Not applicable

NOTE 29 Participating Policies

No significant changes

NOTE 30 Premium Deficiency Reserves

No significant changes

NOTE 31 Reserves for Life Contracts and Annuity Contracts

No significant changes

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

No significant changes

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant changes

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

No significant changes

NOTE 35 Separate Accounts

Not applicable

NOTE 36 Loss/Claim Adjustment Expenses

Not applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 11/07/2019
- 6.4 By what department or departments?
State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 547,083

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | \$ 0 | \$ 0 |
| 14.23 Common Stock | \$ 0 | \$ 0 |
| 14.24 Short-Term Investments | \$ 0 | \$ 0 |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ 0 |
| 14.26 All Other | \$ 0 | \$ 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
- If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.3 Total payable for securities lending reported on the liability page. \$ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon	200 Park Avenue, New York, NY 10166
FHLB Boston	80 Boylston St. 9th Floor, Boston, MA 02199

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Penn Mutual Asset Management, LLC	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107518	Penn Mutual Asset Management, LLC	N/A	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No []

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:
- | | 1
Amount |
|---|------------------|
| 1.1 Long-Term Mortgages In Good Standing | |
| 1.11 Farm Mortgages | \$ 0 |
| 1.12 Residential Mortgages | \$ 2,922 |
| 1.13 Commercial Mortgages | \$ 0 |
| 1.14 Total Mortgages in Good Standing | \$ 2,922 |
| 1.2 Long-Term Mortgages In Good Standing with Restructured Terms | |
| 1.21 Total Mortgages in Good Standing with Restructured Terms | \$ 0 |
| 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months | |
| 1.31 Farm Mortgages | \$ 0 |
| 1.32 Residential Mortgages | \$ 0 |
| 1.33 Commercial Mortgages | \$ 0 |
| 1.34 Total Mortgages with Interest Overdue more than Three Months | \$ 0 |
| 1.4 Long-Term Mortgage Loans in Process of Foreclosure | |
| 1.41 Farm Mortgages | \$ 0 |
| 1.42 Residential Mortgages | \$ 0 |
| 1.43 Commercial Mortgages | \$ 0 |
| 1.44 Total Mortgages in Process of Foreclosure | \$ 0 |
| 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) | \$ 2,922 |
| 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter | |
| 1.61 Farm Mortgages | \$ 0 |
| 1.62 Residential Mortgages | \$ 0 |
| 1.63 Commercial Mortgages | \$ 0 |
| 1.64 Total Mortgages Foreclosed and Transferred to Real Estate | \$ 0 |
| 2. Operating Percentages: | |
| 2.1 A&H loss percent | 0.000 % |
| 2.2 A&H cost containment percent | 0.000 % |
| 2.3 A&H expense percent excluding cost containment expenses | 0.000 % |
| 3.1 Do you act as a custodian for health savings accounts? | Yes [] No [X] |
| 3.2 If yes, please provide the amount of custodial funds held as of the reporting date | \$ 0 |
| 3.3 Do you act as an administrator for health savings accounts? | Yes [] No [X] |
| 3.4 If yes, please provide the balance of the funds administered as of the reporting date | \$ 0 |
| 4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? | Yes [X] No [] |
| 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? | Yes [] No [] |

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?
- Yes [] No [] N/A [X]
- 5.2 If no, explain:
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?
- Yes [] No [X]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

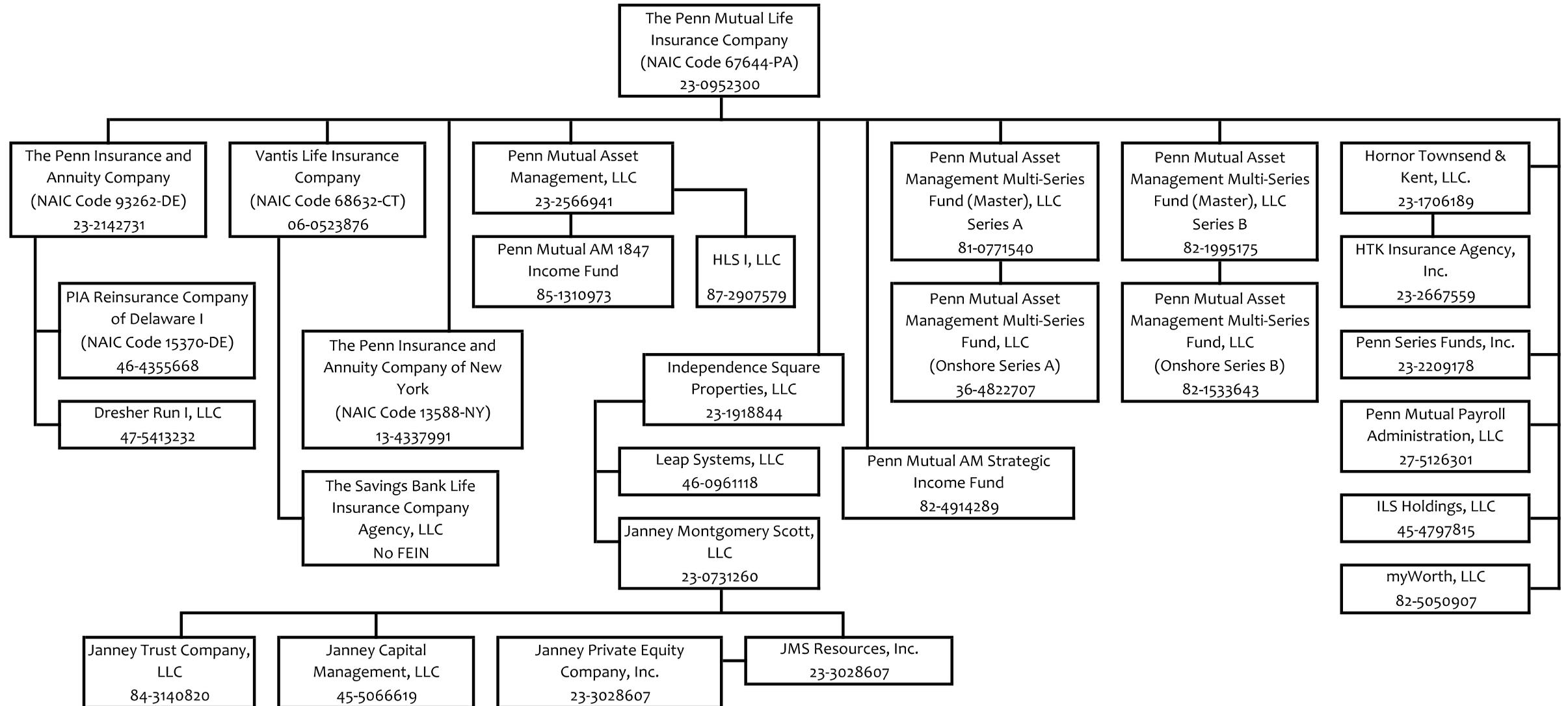
States, Etc.	1	Life Contracts		Direct Business Only			7
		2	3	4	5	6	
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama	AL	L	187,957	.0	.0	187,957	.0
2. Alaska	AK	L	5,157	.0	.0	5,157	.0
3. Arizona	AZ	L	78,443	.0	.0	78,443	.0
4. Arkansas	AR	L	67,832	.0	.0	67,832	.0
5. California	CA	L	253,854	32,000	.0	285,854	.0
6. Colorado	CO	L	58,507	.0	.0	58,507	.0
7. Connecticut	CT	L	8,569,465	11,571,998	966	20,142,429	.0
8. Delaware	DE	L	115,870	.0	.0	115,870	.0
9. District of Columbia	DC	L	47,091	.0	.0	47,091	.0
10. Florida	FL	L	1,120,136	6,000	.0	1,126,136	.0
11. Georgia	GA	L	276,656	.0	.0	276,656	.0
12. Hawaii	HI	L	11,350	.0	.0	11,350	.0
13. Idaho	ID	L	23,202	.0	.0	23,202	.0
14. Illinois	IL	L	139,243	6,774	.0	146,017	.0
15. Indiana	IN	L	88,969	25,048	.0	114,017	.0
16. Iowa	IA	L	29,867	.0	.0	29,867	.0
17. Kansas	KS	L	73,015	.0	.0	73,015	.0
18. Kentucky	KY	L	62,940	.0	.0	62,940	.0
19. Louisiana	LA	L	323,146	.0	.0	323,146	.0
20. Maine	ME	L	150,342	1,000	.0	151,342	.0
21. Maryland	MD	L	836,218	50,000	.0	886,218	.0
22. Massachusetts	MA	L	511,721	25,960	.0	537,681	.0
23. Michigan	MI	L	80,303	.0	.0	80,303	.0
24. Minnesota	MN	L	182,839	.0	.0	182,839	.0
25. Mississippi	MS	L	231,649	.0	.0	231,649	.0
26. Missouri	MO	L	91,749	.0	.0	91,749	.0
27. Montana	MT	L	1,001	.0	.0	1,001	.0
28. Nebraska	NE	L	9,468	.0	.0	9,468	.0
29. Nevada	NV	L	32,664	.0	.0	32,664	.0
30. New Hampshire	NH	L	138,293	9,000	.0	147,293	.0
31. New Jersey	NJ	L	472,684	.0	.0	472,684	.0
32. New Mexico	NM	L	14,097	.0	.0	14,097	.0
33. New York	NY	N	.0	.0	.0	.0	.0
34. North Carolina	NC	L	309,307	10,000	.0	319,307	.0
35. North Dakota	ND	L	1,839	.0	.0	1,839	.0
36. Ohio	OH	L	165,697	51,895	.0	217,592	.0
37. Oklahoma	OK	L	32,768	.0	.0	32,768	.0
38. Oregon	OR	L	54,324	.0	.0	54,324	.0
39. Pennsylvania	PA	L	618,082	.0	.0	618,082	.0
40. Rhode Island	RI	L	73,318	1,000	.0	74,318	.0
41. South Carolina	SC	L	215,972	7,500	.0	223,472	.0
42. South Dakota	SD	L	12,258	.0	.0	12,258	.0
43. Tennessee	TN	L	187,749	.0	.0	187,749	.0
44. Texas	TX	L	339,361	.0	.0	339,361	.0
45. Utah	UT	L	35,603	.0	.0	35,603	.0
46. Vermont	VT	L	102,966	.0	.0	102,966	.0
47. Virginia	VA	L	300,432	.0	.0	300,432	.0
48. Washington	WA	L	54,369	.0	.0	54,369	.0
49. West Virginia	WV	L	39,084	.0	.0	39,084	.0
50. Wisconsin	WI	L	102,421	1,000	.0	103,421	.0
51. Wyoming	WY	L	8,086	.0	.0	8,086	.0
52. American Samoa	AS	N	.0	.0	.0	.0	.0
53. Guam	GU	N	.0	.0	.0	.0	.0
54. Puerto Rico	PR	N	.0	.0	.0	.0	.0
55. U.S. Virgin Islands	VI	N	.0	.0	.0	.0	.0
56. Northern Mariana Islands	MP	N	.0	.0	.0	.0	.0
57. Canada	CAN	N	.0	.0	.0	.0	.0
58. Aggregate Other Aliens	OT	XXX	.0	.0	.0	.0	.0
59. Subtotal	XXX		16,939,364	11,799,175	966	28,739,505	.0
90. Reporting entity contributions for employee benefits plans	XXX		.0	.0	.0	.0	.0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		92,772	.0	.0	92,772	.0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX		.0	.0	.0	.0	.0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		49,839	.0	.0	49,839	.0
94. Aggregate or other amounts not allocable by State	XXX		.0	.0	.0	.0	.0
95. Totals (Direct Business)	XXX		17,081,975	11,799,175	966	28,882,116	.0
96. Plus Reinsurance Assumed	XXX		.0	.0	.0	.0	.0
97. Totals (All Business)	XXX		17,081,975	11,799,175	966	28,882,116	.0
98. Less Reinsurance Ceded	XXX		4,983,339	2,431,255	.0	7,414,594	.0
99. Totals (All Business) less Reinsurance Ceded	XXX		12,098,636	9,367,920	966	21,467,522	.0
DETAILS OF WRITE-INS							
58001	XXX						
58002	XXX						
58003	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		.0	.0	.0	.0	.0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0
9401	XXX						
9402	XXX						
9403	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		.0	.0	.0	.0	.0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....50 R - Registered - Non-domiciled RRGs.....0
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0
 N - None of the above - Not allowed to write business in the state.....7

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1- ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0850	The Penn Mutual Life Insurance Company	67644	23-0952300				The Penn Mutual Life Insurance Company	PA	UDP			0.000		N	
.0850	The Penn Mutual Life Insurance Company	93262	23-2142731				The Penn Insurance and Annuity Company	DE	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	Y	
.0850	The Penn Mutual Life Insurance Company	15370	46-4355668				PIA Reinsurance Company of Delaware I	DE	IA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	Y	
.0850	The Penn Mutual Life Insurance Company		23-1706189				Hornor Townsend & Kent, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	Y	
.0850	The Penn Mutual Life Insurance Company		23-2667559				HTK Insurance Agency, Inc.	DE	NIA	Hornor Townsend & Kent, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-1918844				Independence Square Properties, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	94.480	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-2566941				Penn Mutual Asset Management, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		85-1310973				Penn Mutual AM 1847 Income Fund	PA	OTH	Penn Mutual Asset Management, LLC	Influence	0.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-2209178				Penn Series Fund, Inc.	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		27-5126301				Penn Mutual Payroll Administration, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		45-4797815				ILS Holdings, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		82-5050907				myWorth, LLC	PA	NIA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-0731260				Janney Montgomery Scott, LLC	PA	NIA	Independence Square Properties, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		46-0961118				Leap Systems, LLC	PA	NIA	Independence Square Properties, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		45-5066619				Janney Capital Management, LLC	PA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-2159959				JMS Resources, Inc.	PA	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		84-3140820				Janney Trust Company, LLC	NH	NIA	Janney Montgomery Scott, LLC	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		23-3028607				Janney Private Equity Company, Inc.	DE	NIA	JMS Resources, Inc.	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		47-5413232				Dresher Run I, LLC	DE	NIA	The Penn Insurance and Annuity Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company		81-0771540				Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series A	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company		36-4822707				Penn Mutual Asset Management Multi-Series Fund LLC (onshore)	PA	OTH	Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series A	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company		82-1995175				Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company		82-1533643				Penn Mutual Asset Management Multi-Series Fund, LLC (onshore)	PA	OTH	Penn Mutual Asset Management Multi-Series Fund (Master), LLC - Series B	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company		82-4914289				Penn Mutual AM Strategic Income Fund	PA	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company		87-2907579				HLS I, LLC	DE	OTH	The Penn Mutual Life Insurance Company	Influence	0.000	The Penn Mutual Life Insurance Company	N	1
.0850	The Penn Mutual Life Insurance Company	68632	06-0523876				Vantis Life Insurance Company	CT	RE	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	
.0850	The Penn Mutual Life Insurance Company	13588	13-4337991				The Penn Insurance and Annuity Company of New York	NY	IA	The Penn Mutual Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0850	The Penn Mutual Life Insurance Company						The Savings Bank Life Insurance Company Agency, LLC	CT	DS	Vantis Life Insurance Company	Ownership	100.000	The Penn Mutual Life Insurance Company	N	

Asterisk	Explanation
1	Entity over which The Penn Mutual Life Insurance Company has significant influence, but no ownership.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.

Bar Code:

- Trusteed Surplus Statement [Document Identifier 490]
- Medicare Part D Coverage Supplement [Document Identifier 365]
- Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Agents' credit balances	316,741	316,741	0	0
2505. Prepaid expenses	258,282	258,282	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	575,023	575,023	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,406,876	5,437,838
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	55,092	223,328
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals	0	0
5. Deduct amounts received on disposals	0	0
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	0	0
8. Deduct current year's depreciation	199,353	254,290
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	5,262,615	5,406,876
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	5,262,615	5,406,876

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	5,976	27,970
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	3,054	21,994
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	2,922	5,976
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	2,922	5,976
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	2,922	5,976

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,000,000	5,000,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	5,000,000	5,000,000
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	5,000,000	5,000,000

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	452,363,636	438,543,355
2. Cost of bonds and stocks acquired	34,761,723	55,151,926
3. Accrual of discount	475,150	670,788
4. Unrealized valuation increase (decrease)	(192,746)	(4,931)
5. Total gain (loss) on disposals	532,502	34,612
6. Deduct consideration for bonds and stocks disposed of	31,392,642	40,054,839
7. Deduct amortization of premium	1,515,176	1,988,420
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	369,707	11,145
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	455,402,154	452,363,636
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	455,402,154	452,363,636

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	187,371,818	9,368,928	706,242	(1,663,311)	179,495,923	187,371,818	194,371,193	179,462,482
2. NAIC 2 (a)	218,642,627	1,998,300	6,163,973	(1,232,896)	221,928,803	218,642,627	213,244,058	215,510,005
3. NAIC 3 (a)	34,929,599	0	1,165,489	2,521,875	38,310,218	34,929,599	36,285,985	44,242,298
4. NAIC 4 (a)	4,649,212	0	0	3,424	5,671,440	4,649,212	4,652,636	6,167,266
5. NAIC 5 (a)	453,946	0	0	15	453,932	453,946	453,961	453,918
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	446,047,202	11,367,228	8,035,704	(370,893)	445,860,316	446,047,202	449,007,833	445,835,969
PREFERRED STOCK								
8. NAIC 1	1,500,000	0	0	0	1,500,000	1,500,000	1,500,000	1,500,000
9. NAIC 2	2,750,000	0	0	0	2,774,500	2,750,000	2,750,000	2,774,500
10. NAIC 3	798,800	0	0	(1,200)	798,000	798,800	797,600	798,000
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	5,048,800	0	0	(1,200)	5,072,500	5,048,800	5,047,600	5,072,500
15. Total Bonds and Preferred Stock	451,096,002	11,367,228	8,035,704	(372,093)	450,932,816	451,096,002	454,055,433	450,908,469

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

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Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,279,270	36,466,893
2. Cost of cash equivalents acquired	42,352,687	34,470,387
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	36,565,082	66,658,010
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	10,066,875	4,279,270
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	10,066,875	4,279,270

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
91282C-OH-2	UNITED STATES TREASURY NOTE/BOND		.07/13/2021	WELLS FARGO SECS LLC		504,414	500,000	238	1.A
0599999. Subtotal - Bonds - U.S. Governments									
612574-ES-8	MONTEREY PENINSULA COMMUNITY COLLEGE DIS		.08/05/2021	PERSHING & COMPANY		1,190,000	1,190,000	0	1.C FE
83412P-JB-9	SOLANO COUNTY COMMUNITY COLLEGE DISTRICT		.09/16/2021	RBC CAPITAL MARKETS		1,500,000	1,500,000	0	1.C FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
051595-CG-9	CITY OF AURORA CO WATER REVENUE		.09/09/2021	RAYMOND JAMES & ASSO		1,486,364	1,480,000	447	1.B FE
13077D-QV-7	CALIFORNIA STATE UNIVERSITY		.07/09/2021	JPM SECURITIES-FIXED		1,189,464	1,200,000	0	1.D FE
76913D-GJ-0	RIVERSIDE COUNTY INFRASTRUCTURE FINANCI		.09/29/2021	PERSHING & COMPANY		1,500,000	1,500,000	0	1.D FE
3199999. Subtotal - Bonds - U.S. Special Revenues									
06417N-A9-4	BANK OZK		.09/10/2021	PERSHING & COMPANY		4,175,828	4,180,000	447	XXX
09203M-AQ-8	BLACK DIAMOND CLO 2016-1 LTD	D.	.07/22/2021	CITIGROUP GLOBAL MKT		997,250	1,000,000	0	2.C FE
67389X-AT-4	OAKTREE CLO 2015-1 LTD	D.	.07/06/2021	DEUTSCHE BANC/ALEX B		998,300	1,000,000	5,789	2.B FE
67514U-AQ-4	OCEAN TRAILS CLO 6	D.	.07/09/2021	BARCLAYS CAPITAL FIX		1,001,436	1,000,000	0	1.F FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)									
8399997. Total - Bonds - Part 3						11,367,228	11,370,000	6,474	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						11,367,228	11,370,000	6,474	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						11,367,228	XXX	6,474	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantis Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22				
										11	12	13	14	15											
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol				
36202E-UL-1	GINNIE MAE II POOL		09/01/2021	PAYDOWN		571	571	561	566	0	5	0	5	0	571	0	0	0	23	07/01/2038	1.A				
912828-R7-7	UNITED STATES TREASURY NOTE/BOND		05/31/2021	MATURITY		0	0	0	0	0	0	0	0	0	0	0	0	0	3,094	05/31/2021	1.A				
0599999. Subtotal - Bonds - U.S. Governments						571	571	561	566	0	5	0	5	0	571	0	0	0	3,117	XXX	XXX				
312941-SU-2	FREDDIE MAC GOLD POOL		09/01/2021	PAYDOWN		15,431	15,431	15,788	15,585	0	(154)	0	(154)	0	15,431	0	0	0	408	08/01/2040	1.A				
31378L-ME-5	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		09/01/2021	PAYDOWN		0	0	8,445	3,167	0	(849)	0	(849)	0	0	0	0	0	927	08/01/2025	1.A				
3138X0-MP-0	FANNIE MAE POOL		09/01/2021	PAYDOWN		10,384	10,384	10,258	10,350	0	35	0	35	0	10,384	0	0	0	173	07/01/2028	1.A				
31394D-6T-2	FANNIE MAE REMICS		09/01/2021	PAYDOWN		26,917	26,917	25,483	26,774	0	143	0	143	0	26,917	0	0	0	898	07/01/2025	1.A				
31417G-Y3-4	FANNIE MAE POOL		09/01/2021	PAYDOWN		24,254	24,254	24,056	24,199	0	56	0	56	0	24,254	0	0	0	400	06/01/2028	1.A				
45200F-CE-7	ILLINOIS FINANCE AUTHORITY		07/01/2021	CALL 100		65,000	65,000	75,638	74,001	0	(9,001)	0	(9,001)	0	65,000	0	0	0	4,086	07/01/2033	1.C FE				
3199999. Subtotal - Bonds - U.S. Special Revenues						141,986	141,986	159,668	154,076	0	(9,770)	0	(9,770)	0	141,986	0	0	0	6,892	XXX	XXX				
04621W-AC-4	ASSURED GUARANTY US HOLDINGS INC		09/27/2021	CALL 111.303		115,755	104,000	103,787	103,914	0	9	0	9	0	103,923	0	77	77	6,442	07/01/2024	2.A FE				
066836-AA-5	BAPTIST HEALTH SOUTH FLORIDA INC		08/15/2021	MATURITY		175,000	175,000	175,000	175,000	0	0	0	0	0	175,000	0	0	0	8,033	08/15/2021	1.E FE				
11043H-AA-6	BRITISH AIRWAYS 2018-1 CLASS A PASS THRO		09/20/2021	SINKING PAYMENT		27,106	27,106	26,883	26,913	0	192	0	192	0	27,106	0	0	0	839	09/20/2031	2.B FE				
12630D-AV-6	COMM 2014-CR14 MORTGAGE TRUST		08/01/2021	PAYDOWN		2,911	2,911	2,998	2,911	0	0	0	0	0	2,911	0	0	0	70	02/01/2047	1.D FM				
12648A-BB-5	CSMC TRUST 2014-1VR1		09/01/2021	PAYDOWN		129,643	129,643	128,093	128,887	0	756	0	756	0	129,643	0	0	0	3,234	11/01/2043	1.D FM				
12672A-AA-6	CVS LEASE BACKED PASS 4.4704		09/10/2021	SINKING PAYMENT		7,938	7,938	7,938	7,938	0	0	0	0	0	7,938	0	0	0	249	09/10/2034	2.B				
126748-AA-6	CVS LEASE BACKED PASS		09/10/2021	SINKING PAYMENT		3,701	3,701	3,701	3,701	0	0	0	0	0	3,701	0	0	0	99	08/10/2035	2.B				
17323T-AF-7	CITIGROUP MORTGAGE LOAN TRUST 2015-RP2		09/01/2021	PAYDOWN		32,751	32,751	33,711	33,267	0	(515)	0	(515)	0	32,751	0	0	0	904	01/01/2053	1.D FM				
230346-AF-8	DB MASTER FINANCE LLC		08/20/2021	PAYDOWN		2,500	2,500	2,500	2,500	0	0	0	0	0	2,500	0	0	0	76	11/20/2047	2.B FE				
25755T-AH-3	DOMINO'S PIZZA MASTER ISSUER LLC		07/25/2021	PAYDOWN		2,500	2,500	2,500	2,500	0	0	0	0	0	2,500	0	0	0	77	07/25/2047	2.A FE				
39121J-A*-1	GREAT RIVER ENERGY		07/01/2021	SINKING PAYMENT		81,395	81,395	81,395	81,395	0	0	0	0	0	81,395	0	0	0	4,729	07/01/2021	1.G				
39121J-AH-3	GREAT RIVER ENERGY		07/01/2021	CALL 100		100,000	100,000	100,000	100,000	0	0	0	0	0	100,000	0	0	0	4,478	07/01/2030	1.G FE				
41245*-AF-6	HARDY STORAGE CO LL 5.88454 01FEB23		09/01/2021	SINKING PAYMENT		14,574	14,574	14,574	14,574	0	0	0	0	0	14,574	0	0	0	571	02/01/2023	2.B				
529043-AC-5	LEXINGTON REALTY TRUST		09/16/2021	CALL 106.45855		1,064,586	1,000,000	990,853	997,217	0	729	0	729	0	997,946	0	2,054	2,054	96,579	06/15/2023	2.B FE				
61946G-AB-9	MOSAIC SOLAR LOANS 2017-2 LLC		09/20/2021	PAYDOWN		57,423	57,423	57,410	57,417	0	11	0	11	0	57,423	0	0	0	1,827	06/22/2043	2.A FE				
650119-AA-8	NEW YORK UNIVERSITY		07/01/2021	CALL 100		24,000	24,000	24,000	24,000	0	0	0	0	0	24,000	0	0	0	1,257	07/01/2032	1.D FE				
845467-AM-1	SOUTHWESTERN ENERGY CO		08/31/2021	CA_CASH_CLOSE		636,000	600,000	559,500	565,564	0	3,594	0	3,594	0	569,158	0	30,842	30,842	77,125	04/01/2026	3.C FE				
85208N-AD-2	SPRINT SPECTRUM CO LLC / SPRINT SPECTRUM		09/20/2021	SINKING PAYMENT		62,500	62,500	62,578	62,553	0	(53)	0	(53)	0	62,500	0	0	0	2,548	03/20/2025	2.A FE				
87422V-AG-3	TALEN ENERGY SUPPLY LLC		07/01/2021	BARCLAYS CAPITAL FIX		549,750	600,000	595,500	596,097	0	234	0	234	0	596,331	0	(46,581)	(46,581)	38,756	01/15/2028	3.C FE				
93141*-AA-3	WALGREEN CO 4 PNM PPT 5.54 15NOV35		09/15/2021	SINKING PAYMENT		14,148	14,148	14,148	14,148	0	0	0	0	0	14,148	0	0	0	523	11/15/2035	2.B				
930369-AK-2	ANGLIAN WTR SVCS FING 5.18 05DEC21		09/08/2021	CALL 101.115174		1,011,152	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	50,433	12/05/2021	2.A FE				
98967*-AJ-2	TRITON CONTAINER INTL 5.15 30JUN23		08/30/2021	CALL 106.0032502		848,026	800,000	800,000	800,000	0	0	0	0	0	800,000	0	0	0	75,493	06/30/2023	2.C FE				
98462Y-AB-6	YAMANA GOLD INC	A	09/05/2021	CALL 111.698		390,943	350,000	349,651	349,859	0	10	0	10	0	349,869	0	131	131	60,674	07/15/2024	2.C FE				
07131X-BB-9	BATTALION CLO VII LTD	D	09/28/2021	RBC CAPITAL MARKETS		1,001,520	1,000,000	999,000	0	0	55	0	55	0	999,055	0	2,465	2,465	21,982	07/17/2028	2.B FE				
62951C-AR-3	NZCG FUNDING LTD	D	07/06/2021	CALL 100		1,570,000	1,570,000	1,511,910	0	0	58,090	0	58,090	0	1,570,000	0	0	0	16,579	02/26/2031	2.C FE				
88606W-AA-0	THUNDERBOLT AIRCRAFT LEASE LTD	D	09/15/2021	PAYDOWN		17,984	17,984	17,983	17,984	0	0	0	0	0	17,984	0	0	0	526	05/17/2032	1.G FE				
88606W-AB-8	THUNDERBOLT AIRCRAFT LEASE LTD	D	09/15/2021	PAYDOWN		789	789	797	792	0	(3)	0	(3)	0	789	0	0	0	30	05/17/2032	2.C FE				
16777*-AG-4	OVERSEAS ASSISTANCE FIN 5.0 30MAR24	D	09/07/2021	CALL 105.6672936		159,501	150,000	150,000	150,000	0	0	0	0	0	150,000	0	0	0	18,243	03/30/2024	2.B PL				
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,103,096	7,930,863	7,816,410	5,319,127	0	63,109	0	63,109	0	7,893,145	0	(11,012)	(11,012)	492,376	XXX	XXX				
8399997. Total - Bonds - Part 4						8,245,653	8,073,420	7,976,639	5,473,769	0	53,344	0	53,344	0	8,035,702	0	(11,012)	(11,012)	502,385	XXX	XXX				
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
8399999. Total - Bonds						8,245,653	8,073,420	7,976,639	5,473,769	0	53,344	0	53,344	0	8,035,702	0	(11,012)	(11,012)	502,385	XXX	XXX				
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX		
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						8,245,653	XXX	7,976,639	5,473,769	0	53,344	0	53,344	0	8,035,702	0	(11,012)	(11,012)	502,385	XXX	XXX				

E05

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Vantiv Life Insurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of New York Mellon New York, NY		0.000	0	0	164,209	164,209	164,209	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	3,261,028	1,806,382	2,242,843	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	4,894	31,954	47,985	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	5,106	5,607	9,010	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	18,964	3,468	8,268	.XXX.
People's United Bank Bridgeport, CT		0.000	0	0	52,080	41,039	33,904	.XXX.
Lakeside Bank Chicago, IL		0.000	0	0	7,025	4,180	233	.XXX.
Berkshire Bank Hartford, CT		0.000	0	0	43,049	30,071	28,952	.XXX.
JPMorgan Chase Bank, N.A. San Antonio, TX		0.000	0	0	593,842	319,984	549,995	.XXX.
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0199999. Totals - Open Depositories	XXX	XXX	0	0	4,150,197	2,406,894	3,085,399	.XXX.
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0399999. Total Cash on Deposit	XXX	XXX	0	0	4,150,197	2,406,894	3,085,399	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	300	300	300	.XXX.
0599999. Total - Cash	XXX	XXX	0	0	4,150,497	2,407,194	3,085,699	.XXX.

